



AGENDA

Business Committee Meeting

Timing: Monday, 9 December 2013 commencing at 3.00pm

Location: ASX offices – Level 1, 20 Bridge Street, Sydney

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| 1. Introduction and overview of meeting agenda | 3.00pm – 3.10pm |
| a. Oral report by the Chair of the Business Committee on the key issues discussed at the 2 October 2013 Forum meeting | |
| b. Correspondence from the Chairman of the Boards of ASX Clear and ASX Settlement to Forum Members | |
| 2. Management accounts for cash market clearing and settlement | 3.10pm – 3.40pm |
| Presentation of the management accounts for cash market clearing and settlement services for the year ended 30 June 2013. | |
| 3. Outcomes from the Forum Meeting | |
| a. International cost benchmarking – scope and methodology | 3.40pm – 4.00pm |
| Discussion of the proposed scope and methodology for the international cost benchmarking to be commissioned. | |
| b. ISO 20022 standard messaging | 4.00pm – 4.15pm |
| Work program and public consultation process Feedback from 25 November 2013 Technical Committee meeting | |
| c. Consideration of a T+2 settlement cycle & batch cut-off | 4.15pm – 4.35pm |
| Work program and public consultation process | |
| Topics and issues to be canvassed in the consultation paper | |
| 4. Policy Update and Financial Stability Standards | |
| a. Feedback on the segregation and settlement finality consultation process and next steps | 4:35pm – 4:45pm |
| b. Policy update on the minimum core capital requirements | 4:45pm – 4:50pm |
| 5. Administration | 4:50pm – 5:00pm |
| a. Minutes from the 16 August 2013 meeting | |
| b. The next Business Committee meeting will be held on Wednesday, 19 February 2013 commencing at 12.00 noon. | |
| 6. Light Refreshments | 5.00pm – 6.00pm |

ATTENDEES

MEMBERS		
Company	Name	Job Title
ASX	Mr Peter Hiom	Business Committee Chair Deputy CEO, ASX
ABN AMRO Clearing	Mr Barry Parker	Managing Director
APX	Mr David Lawrence	Chief Operating Officer & Company Secretary
Bell Potter Securities	Mr Dean Surkitt	Managing Director Retail
Bank of America Merrill Lynch	Mr Anatoly Kirievsky	Vice President Compliance
BNP Paribus	Mr Mark Wootton	Head of Local Custody
Chi-X Australia	Mr Jason Keady	Director Markets and Operations
Citi	Mr Nick Pelham	Business Manager – Markets
Commonwealth Bank of Australia	Mr Sheridan Thompson	Executive Manager, Strategic Development, Commonwealth Securities Limited
Deutsche Bank AG	Mr Russel Deal	Chief Operating Officer
Goldman Sachs	Mr Greg Hanlon	Chief Operating Officer
J.P. Morgan	Mr Samuel Mann (attendance by dial-in)	Business Manager, Australia Equities
Macquarie Group	Mr Michael McKeown	Chief Operating Officer
Morgan Stanley	Mr Craig McGuire	Head of Operations
NSX	Mr Emlyn Scott	Chief Executive Officer
Patersons Securities	Mr Sam Budiselik (attendance by dial-in)	Chief Operating Officer
UBS	Mr Conor Foley	Chief Operating Officer

ASX Management	
Name	Job Title
Ms Amanda Harkness	Group General Counsel & Company Secretary
Mr Ramy Aziz	Chief Financial Officer
Mr Tim Hogben	Group Executive, Operations
Mr Alan Bardwell	Chief Risk Officer
Ms Danielle Henderson	General Manager, Clearing Services
Mr Andrew White	General Manager, Settlement Services
Ms Diane Lewis	Senior Manager, Regulatory and Public Policy
Mr Rodd Kingham	Senior Manager, Clearing Services

APOLOGIES

MEMBERS		
Company	Name	Job Title
RBS Morgans	Mr Peter Chisolm	Chief Operating Officer