



AGENDA

Business Committee Meeting

Timing: Thursday, 26 November 2015 commencing at 4.30pm

Location: ASX Offices – Level 1, 20 Bridge Street, Sydney

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| 1) Introduction | 4.30pm – 4.35pm |
| 2) Service Performance | 4.35pm – 4.45pm |
| <p>The Business Committee will be provided with a report on the operational performance of cash market clearing and settlement services.</p> | |
| 3) Business Matters | |
| a) Update on T+2 implementation | 4.45pm – 5.00pm |
| <p>The Business Committee will be provided with an update on implementation of a T+2 settlement cycle.</p> | |
| b) Roadmap for post-trade service infrastructure | 5.00pm – 5.10pm |
| <p>The Business Committee will be provided with a verbal update on the roadmap for post-trade service infrastructure.</p> | |
| 4) Regulatory Updates | |
| a) Council of Financial Regulators | 5.10pm – 5.15pm |
| <p>The Business Committee will be provided with an opportunity to discuss any developments on the Council of Financial Regulators Review of Competition in Clearing Australian Cash Equities.</p> | |
| b) FATCA update | 5.15pm – 5.25pm |
| <p>The Business Committee will be provided with a verbal update on FATCA compliance for listed investment entities.</p> | |
| 5) Administration | 5.25pm – 5.30pm |
| a) Forward work program updated | |
| b) Minutes from the 27 August 2015 meeting | |
| c) Proposed 2016 Forum and Business Committee meeting dates | |
| d) Other matters | |

6) **Proposed Next Meeting**

5.30pm

The next proposed meeting of the Business Committee is scheduled for Monday, 15 February 2016, commencing at 12.00pm.

7) **End of Year Drinks**

5.30pm – 6.30pm

Business Committee Members are invited to stay for end-of-year drinks to thank them for their participation in 2015.

There will be a short presentation by Cliff Richards, ASX General Manager Clearing Services, on blockchain technology.

ATTENDEES

MEMBERS		
Company	Name	Job Title
ANZ	Mr Andrew Koudrin (as delegate for Mr Peter Mullin)	Head of Execution, Middle Office & Assurance
APX	Mr David Lawrence	Chief Operating Officer & Company Secretary
ASX	Mr Peter Hiom	Business Committee Chair Deputy CEO, ASX
Bank of America Merrill Lynch	Mr Anatoly Kirievsky	Head of Compliance
Bell Potter Securities	Mr Dean Surkitt (by telephone)	Managing Director Retail
BNP Paribas	Mr Mark Wootton (as delegate for Mr David Braga)	Acting Head of Clearing & Custody Services
Chi-X Australia	Mr Jamie Crank	Head of Product
Citi	Mr Miles O'Connor	Director, Direct Custody & Clearing Securities & Funds Services
Commonwealth Bank of Australia	Mr Sheridan Thompson	Head of Strategic Development, CommSec
Deutsche Bank AG	Mr Russell Deal	Chief Operating Officer
Goldman Sachs	Mr Jeremy Follett	Executive Director Operations
HSBC	Mr. Peter Snodgrass (as delegate for Mr Andrew Bastow)	Head of Direct Custody & Clearing, HSBC Securities Services
J.P. Morgan	Mr Paul Cooper (as delegate for Mr Suneet Jain)	Head of Equity Operations
Macquarie Group	Mr James Indge	Cash Equities Business Manager
Morgans	Ms Sue Gye (as delegate for Mr Peter Chisholm)	Manager Operations
Morgan Stanley	Mr Craig McGuire	Head of Operations
National Australia Bank	Mr Brett Grant (as delegate for Mr Nathan Walsh)	HO Self Directed Investing
NSX	Mr Emlyn Scott	Chief Executive Officer
Patersons Securities	Ms Jane Irwin	Head of Operations

ASX Management	
Name	Job Title
Ms Amanda Harkness	Group General Counsel & Company Secretary
Mr Tim Hogben	Group Executive, Operations
Ms Eloise Wett	Executive General Manager, Customer Experience
Mr Cliff Richards	General Manager, Clearing Services
Mr Daniel Moran	Deputy General Counsel Corporate
Mr Rodd Kingham	Senior Manager, Clearing Services
Mr Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy (items 4a and 4b)

APOLOGIES

MEMBERS		
Company	Name	Job Title
ABN AMRO Clearing	Mr Sean Lawrence	Managing Director
Credit Suisse	Mr Andrew Farran	Chief Operating Officer
Pershing Securities	Mr Rob Forbes	Chief Executive Officer
UBS	Mr Conor Foley	Chief Operating Officer