



ASX

BUSINESS COMMITTEE AGENDA

Date	Time	Location
Thursday , 2 March 2017	2.00pm – 6.00pm	ASX Offices – Level 1, 20 Bridge Street, Sydney

Agenda Item		Indicative Time
1.	Introduction	2.00pm – 2.05pm
2.	Service and Financial Performance a) Operating Performance Report Members will be provided with a report on the operational performance of cash market clearing and settlement services. b) Management Accounts for Cash Market Clearing and Settlement Presentation of accounts for the half year ended 31 December 2016.	2.05pm – 2.30pm
3.	Code of Practice a) Revised Business Committee Charter and updated Code of Practice Members will be provided with a revised charter and updated Code of Practice.	2.30pm – 3.00pm
4.	Forward Work Program a) CHES replacement - ASX consultation A paper summarising submissions and the ASX response will be provided separately. b) Forward work plan for post-trade service infrastructure Members will be provided with a forward work program. c) CHES replacement - Vision and priorities (Verbal) Members will provide their vision and priorities for the replacement of CHES. To be followed by general discussion.	3.00pm – 5.30pm
5.	Committee Reports a) Technical Committee Report (meeting 13 December 2016)	5.30pm – 5.45pm
6.	Administration a) Minutes from the 1 December 2016 meeting b) Proposed 2017 Business and ISO 20022 Technical Committee meeting dates c) Other matters	5.45pm – 6.00pm
7.	Next Meeting: 4 May 2017	



Members			
Company	Name	Job Title	Apologies
ABN AMRO Clearing	Mr Tapos Thakur	Chief Risk Officer	
AFMA	Mr David Lynch	Chief Executive Officer	
ANZ	Mr Andrew Shade (delegate)	Head of Distribution ANZ SI, Wealth Australia	Peter Mullin
ASX	Mr Peter Hiom	Business Committee Chair, Deputy CEO	
Bank of America Merrill Lynch	Mr Rhys Cahill	Global Markets COO	
Bell Potter Securities	Mr Dean Surkitt	Managing Director Retail	By phone
BNP Paribas	Mr Luc Renard	Head of Clearing & Custody	
Chi-X Australia	Mr Mike Aikins	Chief Operating Officer	
Citi	Mr Miles O'Connor	Director, Direct Custody & Clearing Securities & Funds Services	
Commonwealth Bank of Australia	Mr Sheridan Thompson	Head of Strategic Development, CommSec	
Credit Suisse	Mr Winston Loke	Australia Equities COO	Winston Loke
Deutsche Bank AG	Mr Geoffrey Plaisted	Chief Operating Officer, Equities	
Goldman Sachs	Mr Jeremy Follett	Executive Director Operations	
HSBC	Mr Peter Snodgrass	Head of Direct Custody and Clearing	
J.P. Morgan	Mr Suneet Jain	Sales Business Manager, Markets & Investor Services	
Macquarie Group	Mr James Indge	Cash Equities Business Manager	
Morgans	Mr Peter Chisholm	Chief Operating Officer	
Morgan Stanley	Mr Craig McGuire	Head of Operations	By phone
National Australia Bank	Mr Greg Bowrey (delegate)	Head of Markets & Regulatory Management	Nathan Walsh
NSX	Ms Anne Bowering	Managing Director	
Pershing Securities	Mr Rob Forbes	Chief Executive Officer	
Stockbrokers and Financial Advisers Assoc	Mr Andrew Green	Chief Executive Officer	
Sydney Stock Exchange Limited	Mr David Lawrence	Chief Operating Officer & Company Secretary	
UBS	Mr Conor Foley	Chief Operating Officer	



ASX Management		
Name	Job Title	Apologies
Ms Amanda Harkness	Group General Counsel & Company Secretary	
Mr Tim Hogben	Chief Operating Officer	
Mr Ramy Aziz	Chief Financial Officer	
Ms Eloise Wett	Executive General Manager, Operations	
Mr Cliff Richards	General Manager, Equity Post Trade Services	
Mr Nick Wiley	Deputy General Counsel, Post-Trade	
Ms Diane Lewis	Senior Manager, Regulatory and Public Policy	
Mr Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy	
Mr Rodd Kingham	Senior Manager, Equity Post Trade Services	