



ASX

BUSINESS COMMITTEE AGENDA

Date	Time	Location
Thursday , 4 May 2017	12.00pm – 2.30pm	ASX Offices – Level 1, 20 Bridge Street, Sydney

Agenda Item	Indicative Time
1. Lunch served on arrival	12.00pm – 12.15pm
2. Service and Financial Performance a) Operating Performance Report Members will be provided with a report on the operational performance of cash market clearing and settlement services.	12.15pm – 12.30pm
3. CHESS Replacement a) Supplementary questionnaire Members will be provided with an overview of the Supplementary Questionnaire responses b) Business requirements working groups Members will be updated on the working group processes.	12.30pm – 12.55pm
4. Code of Practice Matters a) Response from the Boards of ASX Clear and ASX Settlement Members will be provided with a response from the ASX Clear and ASX Settlement Boards to the recommendations put at the last meeting. b) Regulatory Expectations – Draft Audit Terms of Reference Members will be provided with a draft terms of reference for the annual external audit against the Regulatory Expectations.	12.55pm – 1.20pm
5. Committee Reports a) Technical Committee Report (meetings 7 February and 14 March 2017)	1.20pm – 1.35pm
6. Administration a) Forward work program updated b) Minutes from the 2 March 2017 meeting c) Other matters	1.35pm – 1.45pm
7. Next Meeting: 15 June 2017	



Members			
Company	Name	Job Title	Apologies
ABN AMRO Clearing	Mr Tapos Thakur	Chief Risk Officer	
AFMA	Mr David Lynch	Chief Executive Officer	
ANZ	Peter Mullin	Head of Distribution ANZ SI, Wealth Australia	
ASX	Mr Peter Hiom	Business Committee Chair, Deputy CEO	
Bank of America Merrill Lynch	Mr Rhys Cahill	Global Markets COO	
Bell Potter Securities	Mr Dean Surkitt	Managing Director Retail	
BNP Paribas	Mr Luc Renard	Head of Clearing & Custody	
Chi-X Australia	Mr Mike Aikins	Chief Operating Officer	
Citi	Mr Miles O'Connor	Director, Direct Custody & Clearing Securities & Funds Services	
Commonwealth Bank of Australia	Mr Sheridan Thompson	Head of Strategic Development, CommSec	
Credit Suisse	Mr Winston Loke	Australia Equities COO	
Deutsche Bank AG	Mr Geoffrey Plaisted	Chief Operating Officer, Equities	
Goldman Sachs	Mr Jeremy Follett	Executive Director Operations	
HSBC	Mr Peter Snodgrass	Head of Direct Custody and Clearing	
J.P. Morgan	Mr Jonathan Evans	Head of Custody and Markets Operations	
Macquarie Group	Mr James Indge	Cash Equities Business Manager	
Morgans	Mr Daniel Spokes (Delegate)	Manager, Transactional Services & Settlement Systems	Peter Chisholm
Morgan Stanley	Mr Craig McGuire	Head of Operations	
National Australia Bank	Nathan Walsh	Head of Markets & Regulatory Management	
NSX	Ms Ann Bowering	Managing Director	
Pershing Securities	Mr Rob Forbes	Chief Executive Officer	
Stockbrokers and Financial Advisers Assoc	Mr Andrew Green	Chief Executive Officer	
Sydney Stock Exchange	Mr Tony Sacre	Chief Executive Officer	
UBS	Mr Conor Foley	Chief Operating Officer	



ASX Management		
Name	Job Title	Apologies
Ms Amanda Harkness	Group General Counsel & Company Secretary	
Mr Tim Hogben	Chief Operating Officer	
Mr Hamish Treleaven	Chief Risk Officer	
Ms Eloise Wett	Executive General Manager, Operations	
Mr Cliff Richards	General Manager, Equity Post Trade Services	
Mr Nick Wiley	Deputy General Counsel, Post-Trade	
Ms Diane Lewis	Senior Manager, Regulatory and Public Policy	
Mr Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy	
Mr Rodd Kingham	Senior Manager, Equity Post Trade Services	