

Agenda

Business Committee

Date	11 October 2017	Time	12.00pm – 2.00pm
Location	ASX offices – Level 1, 20 Bridge Street, Sydney		

1. Lunch served on arrival	12.00pm - 12:15pm
2. Service Performance	12:15pm - 12:30pm
<ul style="list-style-type: none"> a) Cash Market Clearing and Settlement - Operating Performance b) ASX Customer Service Survey Results <ul style="list-style-type: none"> A briefing on the survey outcomes and actions to be taken 	
3. Regulatory Matters	12:30pm – 1:15pm
<ul style="list-style-type: none"> a) Markets in Financial Instruments Directive (MIFID II) <ul style="list-style-type: none"> A briefing on ASX’s work preparing for the MIFID II regime. b) Response from the Boards of ASX Clear and ASX Settlement <ul style="list-style-type: none"> Members will be provided with a response from the ASX Clear and ASX Settlement Boards to the recommendation put at the last meeting c) Annual Cash Equities Clearing and Settlement Reports <ul style="list-style-type: none"> Report on Cash Equity Clearing & Settlement Services Developments Audit Report on ASX’s compliance with the Regulatory Expectations 	
4. CHESS Replacement Project	1:15pm - 1:45pm
<ul style="list-style-type: none"> a) Business Requirements Working Groups <ul style="list-style-type: none"> Working Group 3: Transfers, conversions and data migration Working Group 4: Settlement enhancements b) Technology Assessment of Digital Asset’s DLT Platform <ul style="list-style-type: none"> A report providing a high-level overview of the assessment of DLT c) ISO 20022 Technical Committee Report and Minutes <ul style="list-style-type: none"> Members will receive reports from the 18 July and 22 August meetings. 	
5. Administration	1:45 – 2:00pm
<ul style="list-style-type: none"> a) Minutes from the 3 August 2017 Business Committee Meeting b) Forward work program c) Other matters 	
6. Next Meeting - Thursday 30th November 2017	

Business Committee Members

Company	Name	Job Title	Apologies
ABN AMRO Clearing	Barry Parker	Chief Executive Officer	
AFMA	David Lynch	Chief Executive Officer	
ANZ	Andrew Koudrin (delegate)	Head of Execution Assurance and Middle Office	Peter Mullin
ASX	Peter Hiom	Business Committee Chair, Deputy CEO	
Bank of America Merrill Lynch	Rhys Cahill	Global Markets COO	
Bell Potter Securities	Dean Surkitt	Managing Director Retail	
BNP Paribas	Luc Renard	Head of Clearing & Custody	
Chi-X Australia	Mike Aikins	Chief Operating Officer	
Citi	Miles O'Connor	Director, Direct Custody & Clearing Securities & Funds Services	
Commonwealth Bank of Australia	Sheridan Thompson	Head of Strategic Development, CommSec	
Credit Suisse	Winston Loke	Australia Equities COO	
Deutsche Bank AG	Geoffrey Plaisted	Chief Operating Officer, Equities	
Goldman Sachs	Jeremy Follett	Executive Director Operations	
HSBC	Peter Snodgrass	Head of Direct Custody and Clearing	
J.P. Morgan	Jonathan Evans	Head of Custody and Markets Operations	
Macquarie Group	James Indge	Cash Equities Business Manager	
Morgans	Peter Chisholm	Chief Operating Officer	
Morgan Stanley	Craig McGuire	Head of Operations	
National Australia Bank	Greg Bowrey	General Manager, Self-Directed Wealth Products & Markets	
NSX	Ann Bowering	Managing Director	
Pershing Securities	Rob Forbes	Chief Executive Officer	
Stockbrokers and Financial Advisers Assoc	Andrew Green	Chief Executive Officer	
Sydney Stock Exchange	Tony Sacre	Chief Executive Officer	
UBS	Conor Foley	Chief Operating Officer	

ASX Management

Name	Job Title	Apologies
Tim Hogben	Chief Operating Officer	
Hamish Treleaven	Chief Risk Officer	
Eloise Wett	Executive General Manager, Operations	
Cliff Richards	Executive General Manager, Equity Post Trade Services	
Daniel Moran	Acting General Counsel	
Nick Wiley	Deputy General Counsel, Post-Trade	
Diane Lewis	Senior Manager, Regulatory and Public Policy	
Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy	
Katie McDermott	General Manager, Post Trade & Issuer Services Operations	
Natalie Hewitt	Legal Counsel, ASX Legal	
Jane Peter	General Manager, Customer Experience	