

Agenda

Business Committee

Date	30 November 2017	Time	4.00pm – 6.30pm
Location	ASX offices – Level 1, 20 Bridge Street, Sydney		
1. Service Performance			4:00pm - 4:15pm
a) Cash Market Clearing and Settlement - Operating Performance			
2. CHES Replacement Project			4:15pm - 5:15pm
a) Business Requirements Working Groups			
Working Group 5: Data storage; delivery and reporting			
Working Group 6: Non-functional requirements			
b) Working Groups' Input on Business Requirements			
Discussion of the Working Groups' prioritisation of business requirements input, including the results of the online survey			
c) Technology Assessment Process			
An update of ASX's assessment process for the DLT-based system			
d) Third-Party Security Assessments			
High-level summary of the process and conclusions of two independent security assessments of DA's DLT-based system			
e) ISO 20022 Technical Committee Report and Minutes			
Members will receive reports from the 10 October & 21 November (verbal) meetings			
3. Administration			5:15 – 5:30pm
a) Minutes from the 11 October 2017 Business Committee Meeting			
b) Forward work program			
c) Other matters			
4. Next Meeting - 28 March 2018			
5. End of Year Drinks			5:30 – 6:30pm
Business Committee Members are invited to stay for end-of-year drinks to thank members for participating throughout 2017.			

Business Committee Members

Company	Name	Job Title	Apologies
ABN AMRO Clearing	Barry Parker	Chief Executive Officer	
AFMA	David Lynch	Chief Executive Officer	
ANZ	Andrew Koudrin (delegate)	Head of Execution Assurance and Middle Office	Peter Mullin
ASX	Peter Hiom	Business Committee Chair, Deputy CEO	
Bank of America Merrill Lynch	Rhys Cahill	Global Markets COO	
Bell Potter Securities	Dean Surkitt	Managing Director Retail	
BNP Paribas	David Braga	Head of Securities Services	
Chi-X Australia	Mike Aikins	Chief Operating Officer	
Citi	Miles O'Connor	Director, Direct Custody & Clearing Securities & Funds Services	
CBA	Sheridan Thompson	Head of Strategic Development, CommSec	
Credit Suisse	Winston Loke	Australia Equities COO	
Deutsche Bank AG	Geoffrey Plaisted	Chief Operating Officer, Equities	
Goldman Sachs	Nichole Alexander (delegate)		Jeremy Follett
HSBC	Peter Snodgrass	Head of Direct Custody and Clearing	
J.P. Morgan	Jonathan Evans	Head of Custody and Markets Operations	
Macquarie Group	James Indge	Cash Equities Business Manager	
Morgans	Peter Chisholm	Chief Operating Officer	
Morgan Stanley	Craig McGuire	Head of Operations	
NAB	Greg Bowrey	General Manager, Self-Directed Wealth Products & Markets	
NSX	Ann Bowering	Managing Director	
Pershing Securities	Rob Forbes	Chief Executive Officer	
SAFAA	Andrew Green	Chief Executive Officer	
Sydney Stock Exchange	Tony Sacre	Chief Executive Officer	
UBS	Conor Foley	Chief Operating Officer	

ASX Management

Name	Job Title	Apologies
Tim Hogben	Chief Operating Officer	
Hamish Treleaven	Chief Risk Officer	
Eloise Wett	Executive General Manager, Operations	
Cliff Richards	Executive General Manager, Equity Post Trade Services	
Daniel Moran	Acting General Counsel	
Diane Lewis	Senior Manager, Regulatory and Public Policy	
Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy	
Keith Purdie	Senior Manager, Equity Post Trade Services	