

# Agenda

## Business Committee

---

<b>Date</b>	28 March 2018	<b>Time</b>	12.00pm – 2.00pm
<b>Location</b>	ASX offices – Level 1, 20 Bridge Street, Sydney		

---

### 1. Service Performance

- a) Cash Market Clearing and Settlement - Operating Performance
- 

### 2. CHES Replacement Project

- a) DLT update

An update on the work following ASX's announcement that it would be proceeding with a DLT-based system.

- b) Business Requirements Update

An update on the consultation process surrounding business requirements and the structure of future work to implement CHES replacement.

- c) Corporate Action STP Phase 2 Update

An update on the proposed work program to progress Phase 2 of corporate action STP enhancements.

- d) ISO 20022 Technical Committee Report and Minutes

Members will receive reports from the 21 November 2017 and 6 February 2018 meetings.

---

### 3. Administration

- a) Minutes from the 30 November 2017 Business Committee Meeting
  - b) Forward work program and BC Meeting dates for 2018
  - c) Other matters
- 

### 4. Next Meeting - 5 July 2018



## Business Committee Members

Company	Name	Job Title	Apologies
ABN AMRO Clearing	Barry Parker	Chief Executive Officer	
ACSA	Rob Brown	Chief Executive Officer	
AFMA	David Lynch	Chief Executive Officer	
ANZ	Andrew Koudrin (delegate)	Head of Execution Assurance and Middle Office	Peter Mullin
ASX	Peter Hiom	Business Committee Chair, Deputy CEO	
Bank of America Merrill Lynch	Rhys Cahill	Global Markets COO	
Bell Potter Securities	Dean Surkitt	Managing Director Retail	
BNP Paribas	David Braga	Head of Securities Services	
Chi-X Australia	Mike Aikins	Chief Operating Officer	By Phone
Citi	Miles O'Connor	Director, Direct Custody & Clearing Securities & Funds Services	
CBA	Sheridan Thompson	Head of Strategic Development, CommSec	
Credit Suisse	Winston Loke	Australia Equities COO	
Deutsche Bank AG	Geoffrey Plaisted	Chief Operating Officer, Equities	
Goldman Sachs	Yen Le (delegate)	Executive Director	Jeremy Follett
HSBC	Peter Snodgrass	Head of Direct Custody and Clearing	
J.P. Morgan	Jonathan Evans	Head of Custody and Markets Operations	
Macquarie Group	James Indge	Cash Equities Business Manager	
Morgans	Daniel Spokes (delegate)	Manager, Transactional Services & Settlement Systems	Peter Chisholm
Morgan Stanley	Craig McGuire	Head of Operations	
NAB	Greg Bowrey	General Manager, Self-Directed Wealth Products & Markets	
NSX	Ann Bowering	Managing Director	
Pershing Securities	Leigh Conder	Chief Operating Officer	
SAFAA	Andrew Green	Chief Executive Officer	
Sydney Stock Exchange	Tony Sacre	Chief Executive Officer	
UBS	Conor Foley	Chief Operating Officer	

## ASX Management

Name	Job Title	Apologies
Tim Hogben	Chief Operating Officer	
Daniel Moran	General Counsel	
Hamish Treleaven	Chief Risk Officer	
Eloise Wett	Executive General Manager, Operations	
Cliff Richards	Executive General Manager, Equity Post Trade Services	
Sally Palmer	Deputy General Counsel	
Karen Webb	Senior Manager, Equity Post Trade Services	
Keith Purdie	Senior Manager, Equity Post Trade Services	
Gary Hobourn	Senior Economic Analyst, Regulatory and Public Policy	