



MARKET ANNOUNCEMENT

3 May 2012

ASX Compliance Monthly Activity Report – April 2012

Listings

Listed Entities	April 2012
Listed entities at month start	2,223
New listings	9
De-listings	7
Listed entities at month end	2,225

New listings for the month were Armour Energy Limited (AJQ), Beacon Hill Resources PLC (BHU), Bodiam Hotel Group Limited (IEF), Breaker Resources NL (BRB), Eumeralla Resources Limited (EUM), Merah Resources Limited (MEH), OPUS Group Limited (OPG), Osprey Medical Inc (OSP) and SMART Series 2011-3 Trust (SNA).

De-listings for the month were AB Svensk Exportkredit (SEP), Alpha Financial Products Limited (AFP), Austar United Communications Limited (AUN), Extract Resources Limited (EXT), Living and Leisure Australia Limited (LLA), Living and Leisure Australia Trust (LLA) and Sylvania Platinum Limited (SLP).

Key Listings Statistics	April 2012
Total company announcements	9,408
Price queries ¹ by ASX	36
Other continuous disclosure queries by ASX ²	43
Aware letters ³ sent by ASX	3
Announcements made following a price query	26
Trading halts at the listed entity's request	94
Trading halts following a query by ASX	8
Suspensions at the listed entity's request	21
Suspensions imposed by ASX for breach of the Listing Rules	7

¹ Price queries are enquiries made by ASX (either by letter or phone) to a listed entity if it detects unusual movements in a listed entity's security price or trading volumes to ask if the listed entity is aware of any information that has not been announced which, if known, might explain the movements.

² Other continuous disclosure queries are queries (other than price queries) raised by ASX with listed entities when ASX has concerns the entity may not be in compliance with its continuous disclosure obligations under Listing Rules 3.1, 3.1A and 3.1B. Examples include a query made following a media report or an announcement lodged with ASX.

³ Aware letters are sent by ASX following an announcement by a listed entity and ask for details of when the listed entity became aware of the material information contained in the announcement.

Participants

ASX Participants ⁴	April 2012
Market/Clearing/Settlement Participants at month start	135
New admissions	0
Resignations and removals	1
Market/Clearing/ Settlement Participants at month end	134

ASX 24 Participants	April 2012
Trading/Clearing Participants at month start	47
New admissions	0
Resignations and removals	0
Trading/Clearing Participants at month end	47

During the month, DJ Carmichael resigned as an ASX Participant on 19 April 2012.

Enforcement

Referrals to ASIC ⁵	April 2012
Continuous disclosure referrals	1
Other referrals	2
Total referrals to ASIC	3

Pre 1 August 2010 Enforcement Matters ⁶	April 2012
Open ASX enforcement matters at month start	2
New enforcement matters commenced during the month	0
Enforcement matters concluded during the month	0
Open ASX enforcement matters at month end	2
Fines imposed during the month (excl GST)	Nil

To date there have been no enforcement matters commenced in respect of potential contraventions of the ASX and ASX 24 Operating Rules occurring after 1 August 2010.

⁴ Figures exclude Special Settlement Participants set up to effect a specific corporate action as their participation is only temporary.

⁵ ASX is required, under sections 792B(2)(c) and 821B(2)(c) of the Corporations Act 2001, to refer a matter to ASIC if it has reason to suspect that a person has committed, is committing, or is about to commit a significant contravention of the ASX Group's Operating Rules or the Corporations Act. It should be noted that a single referral may relate to more than one potential contravention. It should also be noted that the fact a matter has been referred to ASIC does not necessarily mean that a contravention has been committed or that ASIC will take enforcement proceedings in relation to it.

⁶ Prior to 1 August 2010, ASX was responsible for supervising the ASX and ASX 24 markets and their participants and for monitoring and enforcing compliance with the market rules for those markets. The responsibility for supervising the ASX and ASX 24 markets and their participants was transferred from ASX to ASIC on 1 August 2010 pursuant to the Corporations Amendment (Financial Market Supervision) Act 2010, and the market rules for the ASX and ASX 24 markets were effectively split into market integrity rules administered by ASIC and Operating Rules administered by ASX. However, ASX remains responsible for enforcing any breaches of the former market rules that occurred prior to 1 August 2010.

No Disciplinary Circulars were released by the ASX Disciplinary Tribunal during the month. Copies of previous Circulars are available online at: <http://www.asx.com.au/asx/group/disciplinaryAnnouncements.do>.

ASX Rule Changes, Waivers and Exemptions

There were no rule amendments in April. Details of previous rule changes can be accessed online at: <http://www.asxgroup.com.au/recent-rule-amendments.htm>.

There were 30 waivers granted in relation to the ASX Listing Rules and 1 waiver in relation to ASX Market Rule 7100. Details of waivers and exemptions granted by ASX can be accessed online by clicking on the relevant rule book on the Rules, Guidance Notes and Waivers page on the ASX website (<http://www.asxgroup.com.au/rules-guidance-notes-and-waivers.htm>) and then clicking on the waivers tab.