

CASH MARKET MARGINING

EFFECTIVE 21 DECEMBER 2015

| RCG ID | Description | Current Flat Rate | New Flat Rate | Move |
|--|---------------------|-------------------|---------------|------|
| Individual Security Flat Rates - ASX Top 200 | | | | |
| RCG 307 | ASX 200 - FLAT REC | 11.7% | 5.0% | ↓ |
| RCG 313 | ASX 200 - Flat ORA | 7.9% | 6.0% | ↓ |
| RCG 321 | ASX 200 - Flat NEC | 6.8% | 9.4% | ↑ |
| RCG 354 | ASX 200 - Flat CVO | 7.1% | 8.1% | ↑ |
| RCG 365 | ASX 200 - Flat PGH | 5.0% | 5.0% | - |
| RCG 371 | ASX 200 - Flat VED | 5.0% | 5.0% | - |
| RCG 386 | ASX 200 - Flat SCG | 5.0% | 5.0% | - |
| RCG 387 | ASX 200 - Flat WFD | 5.0% | 5.0% | - |
| RCG 388 | ASX 200 - Flat JHC | 7.3% | 7.3% | - |
| RCG 389 | ASX 200 - Flat SPO | 5.0% | 6.0% | ↑ |
| RCG 390 | ASX 200 - Flat GMA | 5.0% | 5.0% | - |
| RCG 394 | ASX 200 - Flat AHY | 5.0% | 5.0% | - |
| RCG 410 | ASX 200 - Flat HSO | 5.0% | 5.0% | - |
| RCG 425 | ASX 200 - Flat MPL | 5.0% | 13.4% | ↑ |
| RCG 461 | ASX 200 - Flat S32 | 13.7% | 13.7% | - |
| RCG 468 | ASX 200 - Flat GTY | 6.1% | 14.3% | ↑ |
| RCG 469 | ASX 200 - Flat MYO | 6.1% | 13.5% | ↑ |
| Individual Security Flat Rates - ASX Next 300 | | | | |
| RCG 350 | Next 300 - Flat AFJ | 7.1% | 7.1% | - |
| RCG 363 | Next 300 - Flat NSR | 5.0% | 5.0% | - |
| RCG 395 | Next 300 - Flat BAP | 5.4% | 5.7% | ↑ |
| RCG 397 | Next 300 - Flat MTR | 5.9% | 5.3% | ↓ |
| RCG 355 | Next 300 - Flat DSH | 5.0% | 5.2% | ↑ |
| RCG 357 | Next 300 - Flat GDI | 5.0% | 5.0% | - |
| RCG 358 | Next 300 - Flat HPI | 5.0% | 5.0% | - |
| RCG 416 | Next 300 - Flat E88 | 26.9% | 24.2% | ↓ |
| RCG 361 | Next 300 - Flat IDR | 5.0% | 5.0% | - |
| RCG 362 | Next 300 - Flat MCS | 20.0% | 20.5% | ↑ |
| RCG 367 | Next 300 - Flat SBB | 11.0% | 10.9% | ↓ |
| RCG 372 | Next 300 - Flat VET | 20.0% | 27.6% | ↑ |
| RCG 396 | Next 300 - Flat ISD | 5.0% | 5.0% | - |
| RCG 398 | Next 300 - Flat MVF | 5.7% | 5.7% | - |
| RCG 399 | Next 300 - Flat SGF | 5.7% | 6.0% | ↑ |
| RCG 401 | Next 300 - Flat RFF | 5.0% | 5.0% | - |
| RCG 427 | Next 300 - Flat APO | 5.0% | 8.5% | ↑ |
| RCG 428 | Next 300 - Flat 3PL | 5.1% | 6.6% | ↑ |

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| RCG 429 | Next 300 - Flat GFY | 5.0% | 5.0% | - |
| RCG 430 | Next 300 - Flat SDA | 8.3% | 8.9% | ↑ |
| RCG 431 | Next 300 - Flat PGR | 15.9% | 22.1% | ↑ |
| RCG 432 | Next 300 - Flat IQE | 8.0% | 8.3% | ↑ |
| RCG 433 | Next 300 - Flat ENN | 5.7% | 6.5% | ↑ |
| RCG 434 | Next 300 - Flat ACX | 10.9% | 10.2% | ↓ |
| RCG 435 | Next 300 - Flat CMA | 5.0% | 5.0% | - |
| RCG 436 | Next 300 - Flat ASH | 5.6% | 8.8% | ↑ |
| RCG 437 | Next 300 - Flat GMF | 5.0% | 5.0% | - |
| RCG 438 | Next 300 - Flat OML | 8.2% | 8.2% | - |
| RCG 439 | Next 300 - Flat PSQ | 7.0% | 8.1% | ↑ |
| RCG 440 | Next 300 - Flat SRF | 9.7% | 11.7% | ↑ |
| RCG 441 | Next 300 - Flat REG | 5.3% | 5.0% | ↓ |
| RCG 442 | Next 300 - Flat UBN | 12.2% | 13.9% | ↑ |
| RCG 443 | Next 300 - Flat BAL | 10.9% | 11.8% | ↑ |
| RCG 444 | Next 300 - Flat GNE | 5.0% | 5.0% | - |
| RCG 445 | Next 300 - Flat SIO | 6.7% | 6.1% | ↓ |
| RCG 446 | Next 300 - Flat IPH | 5.5% | 8.5% | ↑ |
| RCG 447 | Next 300 - Flat BLX | 6.7% | 6.7% | - |
| RCG 448 | Next 300 - Flat EHE | 5.6% | 5.6% | - |
| RCG 449 | Next 300 - Flat ACO | 6.1% | 8.2% | ↑ |
| RCG 450 | Next 300 - Flat TOF | 5.0% | 5.0% | - |
| RCG 451 | Next 300 - Flat LHC | 5.8% | 7.3% | ↑ |
| RCG 452 | Next 300 - Flat HUU | 6.3% | 6.3% | - |
| RCG 454 | Next 300 - Flat SIQ | 9.3% | 10.2% | ↑ |
| RCG 453 | Next 300 - Flat LOV | 6.6% | 8.2% | ↑ |
| RCG 465 | Next 300 - Flat ADH | 6.1% | 7.3% | ↑ |
| RCG 466 | Next 300 - Flat ECX | 6.1% | 6.9% | ↑ |
| Interest Rate Securities | | | | |
| RCG 36 | Interest Rate Securities | 2.0% | 2.0% | - |
| Warrants | | | | |
| RCG 33 P | Put Warrants | 30.4% | 35.3% | ↑ |
| RCG 33 C | Call Warrants | 30.4% | 35.3% | ↑ |
| RCG 35 | Other Warrants | 12.5% | 14.6% | ↑ |
| RCG 32 | Instalment Warrants | 10.4% | 15% | ↑ |
| RCG 34 P | Barrier Put Warrants | 55.2% | 63.1% | ↑ |
| RCG 34 C | Barrier Call Warrants | 55.2% | 55.2% | ↓ |
| Non-All Ordinaries Buckets | | | | |
| RCG 44 | Greater than \$10 | 5.0% | 5.0% | - |
| RCG 43 | \$5.00 - \$10.00 | 5.0% | 5.0% | - |
| RCG 42 | \$1.00 - \$5.00 | 6.1% | 6.8% | ↑ |

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| RCG 41 | \$0.50 - \$1.00 | 8.8% | 9.3% | ↑ |
| RCG 40 | \$0.10 - \$0.50 | 16.1% | 16.7% | ↑ |
| RCG 31 | \$0.05 - \$0.10 | 15.4% | 15.7% | ↑ |
| RCG 30 | Less than \$0.05 | 50.0% | 50.0% | - |