

## CASH MARKET MARGINING

EFFECTIVE 15 FEBRUARY 2016

| RCG ID   | Description         | Current Flat Rate | New Flat Rate | Move |
|--|---------------------|-------------------|---------------|------|
| <b>Individual Security Flat Rates - ASX Top 200</b>  |                     |                   |               |      |
| RCG 425  | ASX 200 - Flat MPL  | 13.4%             | 11.6%         | ↓    |
| RCG 386  | ASX 200 - Flat SCG  | 5.0%              | 5.0%          | -    |
| RCG 461  | ASX 200 - Flat S32  | 13.7%             | 8.9%          | ↓    |
| RCG 387  | ASX 200 - Flat WFD  | 5.0%              | 5.0%          | -    |
| RCG 389  | ASX 200 - Flat SPO  | 6.0%              | 7.5%          | ↑    |
| RCG 410  | ASX 200 - Flat HSO  | 5.0%              | 5.0%          | -    |
| RCG 395  | ASX 200 - Flat BAP  | 5.7%              | 5.8%          | ↑    |
| RCG 388  | ASX 200 - Flat JHC  | 7.3%              | 5.0%          | ↓    |
| RCG 397  | ASX 200 - Flat MTR  | 5.3%              | 5.8%          | ↑    |
| RCG 427  | ASX 200 - Flat APO  | 8.5%              | 8.5%          | -    |
| RCG 448  | ASX 200 - Flat EHE  | 5.6%              | 5.6%          | -    |
| RCG 390  | ASX 200 - Flat GMA  | 5.0%              | 5.0%          | -    |
| RCG 468  | ASX 200 - Flat GTY  | 14.3%             | 14.3%         | -    |
| RCG 469  | ASX 200 - Flat MYO  | 13.5%             | 13.5%         | -    |
| RCG 394  | ASX 200 - Flat AHY  | 5.0%              | 5.0%          | -    |
| RCG 441  | ASX 200 - Flat REG  | 5.0%              | 5.0%          | -    |
| RCG 396  | ASX 200 - Flat ISD  | 5.0%              | 5.0%          | -    |
| RCG 472  | ASX 200 - Flat CGC  | 6.8%              | 5.0%          | ↓    |
| RCG 475  | ASX 200 - Flat CYB  | 10.0%             | 10.0%         | -    |
| <b>Individual Security Flat Rates - ASX Next 300</b> |                     |                   |               |      |
| RCG 443  | Next 300 - Flat BAL | 11.8%             | 11.8%         | -    |
| RCG 446  | Next 300 - Flat IPH | 8.5%              | 8.4%          | ↓    |
| RCG 434  | Next 300 - Flat ACX | 10.2%             | 9.5%          | ↓    |
| RCG 453  | Next 300 - Flat LOV | 8.2%              | 8.2%          | -    |
| RCG 467  | Next 300 - Flat MGC | 5.0%              | 6.1%          | ↑    |
| RCG 454  | Next 300 - Flat SIQ | 10.2%             | 10.7%         | ↑    |
| RCG 466  | Next 300 - Flat ECX | 6.9%              | 6.1%          | ↓    |
| RCG 399  | Next 300 - Flat SGF | 6.0%              | 6.4%          | ↑    |
| RCG 438  | Next 300 - Flat OML | 8.2%              | 8.2%          | -    |
| RCG 440  | Next 300 - Flat SRF | 11.7%             | 11.3%         | ↓    |
| RCG 465  | Next 300 - Flat ADH | 7.3%              | 5.7%          | ↓    |
| RCG 428  | Next 300 - Flat 3PL | 6.6%              | 8.2%          | ↑    |
| RCG 430  | Next 300 - Flat SDA | 8.9%              | 8.9%          | -    |
| RCG 447  | Next 300 - Flat BLX | 6.7%              | 6.7%          | -    |

| RCG ID                            | Description              | Current Flat Rate | New Flat Rate | Move |
|-----------------------------------|--------------------------|-------------------|---------------|------|
| RCG 398                           | Next 300 - Flat MVF      | 5.7%              | 7.5%          | ↑    |
| RCG 432                           | Next 300 - Flat IQE      | 8.3%              | 17.1%         | ↑    |
| RCG 401                           | Next 300 - Flat RFF      | 5.0%              | 5.0%          | -    |
| RCG 450                           | Next 300 - Flat TOF      | 5.0%              | 5.0%          | -    |
| RCG 429                           | Next 300 - Flat GFY      | 5.0%              | 5.0%          | -    |
| RCG 431                           | Next 300 - Flat PGR      | 22.1%             | 15.9%         | ↓    |
| RCG 435                           | Next 300 - Flat CMA      | 5.0%              | 5.0%          | -    |
| RCG 437                           | Next 300 - Flat GMF      | 5.0%              | 5.0%          | -    |
| RCG 444                           | Next 300 - Flat GNE      | 5.0%              | 5.0%          | -    |
| RCG 445                           | Next 300 - Flat SIO      | 6.1%              | 6.1%          | -    |
| RCG 452                           | Next 300 - Flat HUU      | 6.3%              | 5.9%          | ↓    |
| RCG 433                           | Next 300 - Flat ENN      | 6.5%              | 6.4%          | ↓    |
| RCG 436                           | Next 300 - Flat ASH      | 8.8%              | 10.0%         | ↑    |
| RCG 439                           | Next 300 - Flat PSQ      | 8.1%              | 7.0%          | ↓    |
| RCG 442                           | Next 300 - Flat UBN      | 13.9%             | 15.2%         | ↑    |
| RCG 449                           | Next 300 - Flat ACO      | 8.2%              | 8.2%          | -    |
| RCG 474                           | Next 300 - Flat HLODA    | 27.6%             | 12.1%         | ↓    |
| RCG 473                           | Next 300 - Flat HLO      | 27.6%             | 12.1%         | ↓    |
| <b>Interest Rate Securities</b>   |                          |                   |               |      |
| RCG 36                            | Interest Rate Securities | 2.0%              | 2.0%          | -    |
| <b>Warrants</b>                   |                          |                   |               |      |
| RCG 33 P                          | Put Warrants             | 35.3%             | 38.3%         | ↑    |
| RCG 33 C                          | Call Warrants            | 33.3%             | 38.3%         | ↑    |
| RCG 35                            | Other Warrants           | 14.6%             | 14.6%         | -    |
| RCG 32                            | Instalment Warrants      | 11.4%             | 11.4%         | -    |
| RCG 34 P                          | Barrier Put Warrants     | 63.1%             | 61.0%         | ↓    |
| RCG 34 C                          | Barrier Call Warrants    | 44.7%             | 61.0%         | ↑    |
| <b>Non-All Ordinaries Buckets</b> |                          |                   |               |      |
| RCG 44                            | Greater than \$10        | 5.0%              | 5.0%          | -    |
| RCG 43                            | \$5.00 - \$10.00         | 5.0%              | 5.0%          | -    |
| RCG 42                            | \$1.00 - \$5.00          | 6.8%              | 7.2%          | ↑    |
| RCG 41                            | \$0.50 - \$1.00          | 9.3%              | 9.3%          | -    |
| RCG 40                            | \$0.10 - \$0.50          | 16.7%             | 16.7%         | -    |
| RCG 31                            | \$0.05 - \$0.10          | 15.7%             | 15.5%         | ↓    |
| RCG 30                            | Less than \$0.05         | 50.0%             | 50.0%         | -    |
| RCG 37                            | Default Bucket           | 40.0%             | 50.0%         | -    |