



ASX Austraclear Schedule of Fees



Effective Date: 01-Jul-2018

Version: v.21062018

Contents

ASX Austraclear

1 Admission & Participation Fees

1.1 Initial Fee (Admission)

1.2 Annual Fee (Participation)

1.3 Other Participation Fees

2 Austraclear Transaction Fees

2.1 Cash

2.2 Fixed Income Securities (FIS) - Bonds

2.3 Fixed Income Securities - Global (Eurobonds held in Clearstream)

2.4 Discount Securities System (DSS)

2.5 Foreign Exchange (FX Match & Check & Return)

3 Austraclear Holding Fees

3.1 Depository Holdings

4 Austraclear SWIFT & Host to Host Lite Fees

4.1 SWIFT Incoming Messages

4.2 SWIFT Outgoing Messages

4.3 Host to Host Lite File Uploads

5 Austraclear Report Downloads & Audit Certificate Fees

5.1 Austraclear Report Downloads

5.2 Archive Reports

5.3 Audit Certificates

6 Network Services

7 Multi-Currency Fees

7.1 Cash

7.2 Fixed Income Securities (FIS) Bonds

7.3 Discount Securities System (DSS)

7.4 Depository Holdings

7.5 Rebates

8 Rebates

All fees are shown in Australian dollars. All fees are effective 01-Jul-2018 unless otherwise indicated and are subject to change from time to time.

If you require further clarification please contact your ASX Account Manager or ASX Customer Service (telephone: 131 279)

*GST exclusive prices are quoted in the Schedule of Fees applicable to ASX Austraclear. Usually ASX Austraclear services will be subject to GST and the GST inclusive prices will be charged.

In certain situations, an otherwise taxable supply may be exempt from GST (for example, exports). However, ASX anticipates that ASX Austraclear services will not be 'exports' for the purposes of GST and accordingly, GST inclusive prices will be charged for these services.



ASX Austraclear

| Product | Service | Charge Basis | Charge Frequency | Effective Date | Charge (GST Exclusive*) |
|------------|---|---|---------------------------------|----------------|-------------------------|
| 1 | Admission & Participation Fees | | | | |
| 1.1 | Initial Fee (Admission) | | | | |
| 33000030 | Initial Fee - Austraclear Full Participant | Per admission | Once off | 1-Jul-18 | \$5,200.00 |
| 33000031 | Initial Fee - Austraclear Associate Participant | Per admission | Once off | 1-Jul-18 | \$2,090.00 |
| 33000033 | Initial Fee - Austraclear Special Purpose Participant | Per admission | Once off | 1-Jul-18 | \$2,090.00 |
| 33000034 | Initial Fee - Austraclear Public Trust Participant | Per admission | Once off | 1-Jul-18 | \$2,090.00 |
| 1.2 | Annual Fee (Participation) | | | | |
| 33000036 | Annual Fee - Austraclear Full Participant | Per Participant - Paid annually in advance and are non-refundable | Yearly | 1-Jul-18 | \$5,330.00 |
| 33000037 | Annual Fee - Austraclear Associate Participant | Per Participant - Paid annually in advance and are non-refundable | Yearly | 1-Jul-18 | \$815.00 |
| 33000039 | Annual Fee - Austraclear Special Purpose Participant | Per Participant - Paid annually in advance and are non-refundable | Yearly | 1-Jul-18 | \$815.00 |
| 33000040 | Annual Fee - Austraclear Public Trust Participant | Per Participant - Paid annually in advance and are non-refundable | Yearly | 1-Jul-18 | \$2,665.00 |
| 33000041 | Annual Fee - Austraclear Sub-Participant Branch | Per Participant - Paid annually in advance and are non-refundable | Yearly | 1-Jul-18 | \$815.00 |
| 33000055 | Non Direct Debit Fee | If you choose not to pay your account by direct debit, a \$200.00 processing fee is charged in addition to your annual membership fee | Yearly | 1-Jan-17 | \$200.00 |
| 1.3 | Other Participation Fees | | | | |
| 03100004 | Austraclear Users (uncapped) | Per User - Each sub participant receives one free user | Monthly | 1-Jul-18 | \$20.90 |
| 03100005 | Austraclear Sub Accounts (capped at 1,000 sub accounts) | Per Sub Account - Each sub participant receives one free sub account | Monthly | 1-Jul-18 | \$20.90 |
| 2 | Austraclear Transaction Fees | | | | |
| 2.1 | Cash | | | | |
| 33000013 | AUD Cash Transfer / Cash Trade | per side | per transaction, billed monthly | 1-Jul-16 | \$5.50 |
| 33000014 | ASX Clear Margin Payment / Receipt | per side | per transaction, billed monthly | 1-Jul-16 | \$5.50 |
| 33000015 | ASX 24 Margin Payment / Receipt | per side | per transaction, billed monthly | 1-Jul-16 | \$5.50 |
| 2.2 | Fixed Income Securities (FIS) - Bonds | | | | |
| 03100011 | FIS Repo Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000005 | FIS Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 |
| 33000002 | FIS Pledge | per pledge, pledger | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000002 | FIS Release Pledge | per pledge, pledger | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000008 | Maturity | per line, owner | per transaction, billed monthly | 1-Jul-18 | \$17.40 |
| 33000002 | FIS Substitute Pledge | per pledge, pledger | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000003 | FIS Securities Transfer | per line, owner | per transaction, billed monthly | 1-Nov-17 | \$3.45 |



| Product | Service | Charge Basis | Charge Frequency | Effective Date | Charge (GST Exclusive*) |
|------------|---|-------------------------|---------------------------------|----------------|-------------------------|
| 33000001 | FIS Uplift | per line, owner | per transaction, billed monthly | 1-Jul-18 | \$33.70 |
| 33000004 | FIS Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000027 | FIS RBA Repo Settlement | per side, borrower only | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000027 | FIS RBA Reverse Repo Settlement | per side, borrower only | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000028 | Market Repo-Trade on Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 |
| 33000029 | Market Repo-Trade Renegotiation | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 03200091 | FIS Bulk Transfer Source | per line, owner | per transaction, billed monthly | 1-Nov-17 | \$3.45 |
| 03200092 | FIS Bulk Transfer Destination | per line, receiver | per transaction, billed monthly | 1-Nov-17 | \$3.45 |
| 2.3 | Fixed Income Securities - Global (Eurobonds held in Clearstream) | | | | |
| 33000001 | FIS Global Uplift | per holding, owner | per transaction, billed monthly | 1-Jul-18 | \$33.70 |
| 33000005 | FIS Global Auto Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 |
| 33000005 | FIS Global Manual Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 |
| 2.4 | Discount Securities System (DSS) | | | | |
| 03100014 | DSS Repo Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 |
| 03100015 | DSS Repo Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 03100013 | DSS Repo Renegotiation | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 03100012 | DSS Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000012 | DSS ISIN Codes | per ISIN | per transaction, billed monthly | 1-Jul-18 | \$2.45 |
| 33000011 | DSS Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 |
| 33000009 | DSS Pledge | per pledge, pledger | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000009 | DSS Release Pledge | per pledge, pledger | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000009 | DSS Substitute Pledge | per pledge, pledger | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000010 | DSS Securities Transfer | per line, owner | Monthly | 1-Nov-17 | \$3.45 |
| 33000008 | Maturity | per line, owner | per transaction, billed monthly | 1-Jul-18 | \$17.40 |
| 33000007 | DSS Uplift | per line, owner | per transaction, billed monthly | 1-Jul-18 | \$55.45 |



| Product | Service | Charge Basis | Charge Frequency | Effective Date | Charge (GST Exclusive*) |
|------------|--|---|---|----------------|-------------------------|
| 33000028 | Market Repo-Trade on Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 |
| 03200091 | DSS Bulk Transfer Source | per line, owner | per transaction, billed monthly | 1-Nov-17 | \$3.45 |
| 03200092 | DSS Bulk Transfer Destination | per line, receiver | per transaction, billed monthly | 1-Nov-17 | \$3.45 |
| 33000029 | Market Repo-Trade Renegotiation | per side, borrower only | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 2.5 | Foreign Exchange (FX Match & Check & Return) | | | | |
| 33000021 | FX Confirmation | per side | Monthly | 1-Jul-18 | \$3.50 |
| 33000022 | FX Settlement (AUD only) | per net settlement, per side | Monthly | 1-Jul-18 | \$3.85 |
| 3 | Austraclear Holding Fees | | | | |
| 3.1 | Depository Holdings | | | | |
| 33000017 | DSS Paper Portfolio Holdings | - \$0 - \$0.5bn - \$0.5bn - \$1.5bn - \$1.5bn - \$2.5bn - \$2.5bn and over | \$15.00 per \$ million per month \$7.50 per \$ million per month \$4.50 per \$ million per month \$3.00 per \$ million per month | Monthly | variable |
| 33000017 | DSS Electronic Portfolio Holdings | - \$0 - \$5.0bn - \$5.0bn - \$30.0bn - \$30.0bn and over | \$1.00 per \$ million per month \$0.30 per \$ million per month \$0.19 per \$ million per month | Monthly | 1-Jul-18 variable |
| 33000018 | Depository Holdings - FIS | - \$0 - \$5.0bn - \$5.0bn - \$30.0bn - \$30.0bn and over | \$1.00 per \$ million per month \$0.30 per \$ million per month \$0.19 per \$ million per month | Monthly | 1-Jul-18 variable |
| 33000006 | Depository Holdings - FIS Global (Eurobonds) | All balances | \$20.90 per \$ million per month | Monthly | 1-Jul-18 variable |
| 4 | Austraclear SWIFT & Host to Host Lite Fees | | | | |
| 4.1 | SWIFT Incoming Messages | | | | |
| 03100001 | MT103 Single Customer Credit Transfer (Cash Payment) | per message, sender | Monthly | | \$0.40 |
| 03100001 | MT202 General Financial Institution Transfer (Cash Payment) | per message, sender | Monthly | | \$0.40 |
| 03100001 | MT210 Notice to Receive (Cash Receipt) | per message, sender | Monthly | | \$0.40 |
| 03100001 | MT300 Foreign Exchange Trade Instruction | per message, sender | Monthly | | \$0.40 |
| 03100001 | MT540 Receive Free | per message, sender | Monthly | | \$0.40 |
| 03100001 | MT541 Receive Against Payment | per message, sender | Monthly | | \$0.40 |
| 03100001 | MT542 Deliver Free | per message, sender | Monthly | | \$0.40 |
| 03100001 | MT543 Deliver with Payment | per message, sender | Monthly | | \$0.40 |
| 03100001 | MT549 Request for Statement/Status Advice | per message, sender | Monthly | | \$0.40 |
| 03100001 | MT598 Series Creation & Deposit Message | per message, sender | Monthly | | \$0.40 |
| 4.2 | SWIFT Outgoing Messages | | | | |
| 03100001 | MT198 Response to MT 1xx Messages (SMT 102 and SMT103) | per message, receiver | Monthly | | \$0.30 |
| 03100001 | MT298 Response to MT 2xx Messages (SMT 102 and SMT103) | per message, receiver | Monthly | | \$0.30 |
| 03100001 | MT300U Foreign Exchange Confirmation (return from ASX) | per message, receiver | Monthly | | \$0.30 |
| 03100001 | MT398 Response to MT 3xx Messages (SMT 102 and SMT103) | per message, receiver | Monthly | | \$0.30 |
| 03100001 | MT598 Response to MT 5xx Messages (SMT 102, SMT103) | per message, receiver | Monthly | | \$0.30 |
| 03100001 | MT535 Statement of Holding (Intraday, Interim or End of Day) | per message, receiver | Monthly | | \$0.45 |
| 03100001 | MT544 Settlement Confirmation for Receive Free | per message, receiver | Monthly | | \$0.30 |
| 03100001 | MT545 Settlement Confirmation for Receive Against Payment | per message, receiver | Monthly | | \$0.30 |



| Product | Service | Charge Basis | Charge Frequency | Effective Date | Charge (GST Exclusive*) |
|------------|--|---------------------------------|------------------|----------------|-------------------------|
| 03100001 | MT546 Settlement Confirmation for Deliver Free | per message, receiver | Monthly | | \$0.30 |
| 03100001 | MT547 Settlement Confirmation for Deliver with Payment | per message, receiver | Monthly | | \$0.30 |
| 03100001 | MT564 Corporate Action Notification Message | per message, receiver | Monthly | | \$0.45 |
| 03100001 | MT599 - Securities Details Message | per message, receiver | Monthly | | \$0.45 |
| 03100001 | MT900 - Confirmation of Debit | per message, receiver | Monthly | | \$0.30 |
| 03100001 | MT910 - Confirmation of Credit | per message, receiver | Monthly | | \$0.30 |
| 4.3 | Host to Host Lite File Uploads | | | | |
| 03100002 | MT512 Fixed Income Trade | per transaction in file, sender | Monthly | | \$0.30 |
| 03100002 | MT202 General Financial Institution Transfer | per transaction in file, sender | Monthly | | \$0.30 |
| 03100002 | MT599 Series Creation & Deposit | per transaction in file, sender | Monthly | | \$0.30 |
| 03100002 | MT300 Foreign Exchange Confirmation | per transaction in file, sender | Monthly | | \$0.30 |
| 5 | Austraclear Report Downloads & Audit Certificate Fees | | | | |
| 5.1 | Austraclear Report Downloads | | | | |
| 03100003 | Weekly Turnover by Security Type SCV & PDF | per report download | Monthly | | \$1.30 |
| 03100003 | Granted Proxy Access CSV & PDF | per report download | Monthly | | \$1.30 |
| 03100003 | Proxy User Role CSV & PDF | per report download | Monthly | | \$1.30 |
| 03100003 | Weekly Turnover by Isser CSV & PDF | per report download | Monthly | | \$1.30 |
| 03100003 | Received Proxy Access CSV & PDF | per report download | Monthly | | \$1.30 |
| 03100003 | Role Allocation | per report download | Monthly | | \$1.30 |
| 03100003 | Place Payable Maturity | per report download | Monthly | | \$1.30 |
| 03100003 | Cash Provider Transaction Detail | per report download | Monthly | | \$1.30 |
| 03100003 | Cash Record Audit/Limit | per report download | Monthly | | \$1.30 |
| 03100003 | RBA Repo Open Trade | per report download | Monthly | | \$1.30 |
| 03100003 | Beneficial Holders by Issuer | per report download | Monthly | | \$1.30 |
| 03100003 | Turnover by Issuer | per report download | Monthly | | \$1.30 |
| 03100003 | FX Open Trade | per report download | Monthly | | \$1.30 |
| 03100003 | Market Repo Coupon Report | per report download | Monthly | | \$1.30 |
| 03100003 | Outstanding Deposits and Withdrawals | per report download | Monthly | | \$1.30 |
| 03100003 | FRA Open Trade | per report download | Monthly | | \$1.30 |
| 03100003 | IRS Open Trade | per report download | Monthly | | \$1.30 |
| 03100003 | DSS Open Trade | per report download | Monthly | | \$1.30 |
| 03100003 | IRS Payments & Receipts Due | per report download | Monthly | | \$1.30 |
| 03100003 | Market Repo Open Trade | per report download | Monthly | | \$1.30 |
| 03100003 | FIS Open Trade | per report download | Monthly | | \$1.30 |
| 03100003 | Cash Open Trade | per report download | Monthly | | \$1.30 |
| 03100003 | Issuer Representatives Turnover | per report download | Monthly | | \$1.30 |
| 03100003 | Corporate Action Intraday PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Corporate Action End of Day PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Corporate Action Record Date PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Corporate Action Creation Date PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Pledged Securities PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Maturing Securities PDF and CSV | per report download | Monthly | | \$2.00 |



| Product | Service | Charge Basis | Charge Frequency | Effective Date | Charge (GST Exclusive*) |
|------------|--|-------------------------|---------------------------------|----------------|-------------------------|
| 03100003 | Record Date Report PDF | per report download | Monthly | | \$2.00 |
| 03100003 | Issuer Rep Record Date Report PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Issuer Rep Holding Report PDF | per report download | Monthly | | \$2.00 |
| 03100003 | Issuer Rep 2nd Run FIS Payments PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Issuer Rep 1st Run FIS Payments PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Issuer Rep DSS Maturities Payments PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Security Details PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Income Receivable PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Holding PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Cash List Reports (i.e.: Interim & Final) PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Cash Report PDF & CSV | per report download | Monthly | | \$2.00 |
| 03100003 | Settlement Instruction PDF & CSV | per report download | Monthly | | \$2.00 |
| 5.2 | Archive Reports | | | | |
| 03100003 | Production of report | per report | Monthly | | \$30.00 |
| 03100003 | Retrieval of data tape | per tape | Monthly | | \$50.00 |
| 5.3 | Audit Certificates | | | | |
| 33000023 | Audit Certificate | per audit certificate | Monthly | 1-Jul-18 | \$84.15 |
| 33000073 | Late Audit Certificate | per audit certificate | Monthly | 1-Jul-18 | \$168.30 |
| 6 | Network Services | | | | |
| 33000068 | Austraclear Internet Connection | Per Participant | Monthly | 1-Jul-18 | \$600.00 |
| 7 | Multi-Currency Fees | | | | |
| 7.1 | Cash | | | | |
| 33000070 | RMB Cash Transfer - Onshore | per side | per transaction, billed monthly | 1-Jul-16 | \$5.50 |
| 33000071 | RMB Cash Transfer - Offshore | per side | per transaction, billed monthly | 1-Jul-16 | \$5.50 |
| 33000200 | USD Cash Transfer | per side | per transaction, billed monthly | 1-Jul-18 | \$5.50 |
| 7.2 | Fixed Income Securities (FIS) Bonds | | | | |
| 33000080 | RMB FIS Repo Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000081 | RMB FIS Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000082 | RMB FIS Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 |
| 33000084 | RMB Market Repo-Trade on Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 |
| 33000085 | RMB Market Repo-Trade Renegotiation | per side, borrower only | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000213 | USD Market Repo-Trade Renegotiation | per side, borrower only | per transaction, billed monthly | 1-Jul-18 | \$3.50 |
| 33000214 | USD Market Repo-Trade on Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 |
| 33000215 | USD FIS Repo Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 |



| Product | Service | Charge Basis | Charge Frequency | Effective Date | Charge (GST Exclusive*) | |
|------------|--|--|---|----------------|-------------------------|----------|
| 33000216 | USD FIS Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 | |
| 33000217 | USD FIS Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 | |
| 7.3 | Discount Securities System (DSS) | | | | | |
| 33000090 | RMB DSS Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 | |
| 33000091 | RMB DSS Repo Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 | |
| 33000092 | RMB DSS Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 | |
| 33000093 | RMB Market Repo-Trade Renegotiation | per side, borrower only | per transaction, billed monthly | 1-Jul-18 | \$3.50 | |
| 33000094 | RMB Market Repo-Trade on Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 | |
| 33000204 | USD Market Repo-Trade Renegotiation | per side, borrower only | per transaction, billed monthly | 1-Jul-18 | \$3.50 | |
| 33000205 | USD Market Repo-Trade on Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 | |
| 33000206 | USD DSS Repo Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 | |
| 33000207 | USD DSS Settlement | per side | per transaction, billed monthly | 1-Jul-18 | \$13.60 | |
| 33000208 | USD DSS Trade Split | per side | per transaction, billed monthly | 1-Jul-18 | \$3.50 | |
| 7.4 | Depository Holdings | | | | | |
| 33000100 | Depository Holdings - RMB FIS Global Portfolio | All balances | \$4.20 per \$ million per month | Monthly | 1-Jul-18 | variable |
| 33000101 | RMB DSS Electronic Portfolio Holdings | - \$0 - \$5.0bn - \$5.0bn - \$30.0bn - \$30.0bn and over | \$1.00 per \$ million per month \$0.30 per \$ million per month \$0.19 per \$ million per month | Monthly | 1-Jul-18 | variable |
| 33000102 | Depository Holding - RMB FIS | - \$0 - \$5.0bn - \$5.0bn - \$30.0bn - \$30.0bn and over | \$1.00 per \$ million per month \$0.30 per \$ million per month \$0.19 per \$ million per month | Monthly | 1-Jul-18 | variable |
| 33000201 | USD DSS Electronic Portfolio Holdings | - \$0 - \$5.0bn - \$5.0bn - \$30.0bn - \$30.0bn and over | \$1.00 per \$ million per month \$0.30 per \$ million per month \$0.19 per \$ million per month | Monthly | 1-Jul-18 | variable |
| 33000209 | Depository Holdings - USD FIS Global Portfolio | All balances | \$20.90 per \$ million per month | Monthly | 1-Jul-18 | variable |
| 33000210 | Depository Holding - USD FIS | - \$0 - \$5.0bn - \$5.0bn - \$30.0bn - \$30.0bn and over | \$1.00 per \$ million per month \$0.30 per \$ million per month \$0.19 per \$ million per month | Monthly | 1-Jul-18 | variable |
| 7.5 | Rebates | | | | | |
| 33000083 | RMB FIS Settlement Rebate | 25% rebate will apply on all volumes if monthly settlement volumes exceed 6,500 reducing the fee from \$13.60 to \$10.20 | Monthly | 1-Jul-18 | | |
| | | *A customer can consolidate its Austraclear accounts to meet the 6,500 threshold. | | | | |



| Product | Service | Charge Basis | Charge Frequency | Effective Date | Charge (GST Exclusive*) |
|----------|---------------------------|--|------------------|----------------|-------------------------|
| 33000108 | RMB Holdings Rebate | 50% rebate will apply on fees exceeding \$40,000 per month or \$480,000 per annum (excluding GST). Rebate is capped at \$400,000 per annum. A Customer can consolidate its Austraclear accounts to meet the \$40,000 per month threshold | Monthly | 1-Jul-18 | |
| 33000218 | USD FIS Settlement Rebate | 25% rebate will apply on all volumes if monthly settlement volumes exceed 6,500 reducing the fee from \$13.60 to \$10.20 (excluding GST). *A customer can consolidate its Austraclear accounts to meet the 6,500 threshold. | Monthly | 1-Jul-18 | |
| 33000224 | USD Holdings Rebate | 50% rebate will apply on fees exceeding \$40,000 per month or \$480,000 per annum (excluding GST). Rebate is capped at \$400,000 per annum. A customer can consolidate its Austraclear accounts to meet the \$40,000 per month threshold. | Monthly | 1-Jul-18 | |
| 8 | Rebates | | | | |
| 33000054 | Holdings Rebate | 50% rebate will apply on fees exceeding \$40,000 per month or \$480,000 per annum (excluding GST). Rebate is capped at \$400,000 per annum. A customer can consolidate its Austraclear accounts to meet the \$40,000 per month threshold. | Monthly | 1-Jul-18 | |
| 33000069 | FIS Settlement Rebate | 25% rebate will apply on all volumes if monthly settlement volumes exceed 6,500 reducing the fee from \$13.60 to \$10.20 (excluding GST). * A customer can consolidate its Austraclear accounts to meet the 6,500 threshold. | Monthly | 1-Jul-18 | |



This page is left intentionally blank

< end >