



Listed@ASX Compliance Update

29 June 2015

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Update no 07/15

1. Debt and hybrid naming conventions - new descriptions for all existing listed debt and hybrid securities

On 30 January 2015 ASX published [Guidance Note 34: Naming Conventions for Debt and Hybrid Securities](#), preceded by a [consultation paper](#) in September 2014. To complement the naming convention ASX also introduced a policy for describing the securities in a way that better enables retail investors to understand the nature of particular securities and easily compare different debt and hybrid securities quoted on ASX. The new security description policy is set out in ASX's [Guide to the naming conventions and security descriptions for ASX-quoted debt and hybrid securities](#).

ASX implemented the new security descriptions on 18 May 2015. The new descriptions for all *existing* debt and hybrid securities are set out in this [list](#). These new descriptions will now populate the security description field in the ASX online forms for announcing dividends and interest payments. Please review your debt and hybrid security descriptions and contact your ASX listings adviser if you believe that your security has been incorrectly described. For existing securities the ASX Ticker Code remains unchanged.

If you have any questions please contact your listings adviser.

2. Listing Fees

As advised to customers in February 2015, ASX has a revised schedule of listing fees for FY16. A fee increase broadly in line with CPI (approximately 2.5%) will be implemented across annual and subsequent listing fee rates for equity securities. ASX has also revised the schedule of initial listing fees. There will be no change to debt securities listing fees. Changes will be effective from 1 July 2015. The revised schedules will be available on and from that date in a revised Guidance Note 15A: *Schedule of ASX Listing Fees* on the "Rules, guidance notes and waivers" page on the ASX website.

3. Revised Guidance Note 8 *Continuous Disclosure: Listing Rules 3.1 - 3.1B*

Earlier this year, ASX issued a [consultation paper](#) on proposed changes to Guidance Note 8 *Continuous Disclosure: Listing Rules 3.1 - 3.1B* (GN 8) expanding the guidance on earnings surprises, publication of analyst forecasts and consensus estimates, and investor briefings.

On 22 June 2015, ASX released a detailed [consultation response](#), along with the final version of GN 8

([Attachment A](#)), a mark-up comparing the final version to the draft version of GN 8 issued with ASX's consultation paper ([Attachment B](#)), a mark-up comparing the final version to the current (pre-consultation) version of GN 8 ([Attachment C](#)), and a mark-up of ASX's Abridged Guide to Continuous Disclosure reflecting the changes it has made to GN 8 ([Attachment D](#)).

ASX intends to publish the final form of GN 8 on 1 July 2015. It will be available on and from that date on the "Rules, guidance notes and waivers" page on the ASX website.

The final form of ASX's Abridged Guide to Continuous Disclosure can be downloaded from the ASX Compliance "downloads" page on the ASX website.

4. ASX online forms for announcing corporate actions commencement and roadshow.

The enhanced ASX online forms for announcing corporate actions came into effect on Monday 29 June 2015. From 29 June 2015 it is mandatory to announce dividends/distributions, interest payments, security splits/consolidations and cash capital returns by filling in and submitting an online form.

Details of ASX's online forms roadshow in July 2015 are set out below.

Further information about ASX online forms for announcing corporate actions (including a recording of a webinar held in June 2015) is available on the log-in page for ASX Online:

Location	Date and time	Registration
Brisbane: Novotel 200 Creek Street, Brisbane	Tuesday 14 July 2015, 11.00am-12.00pm local time	Click here to register Brisbane Session
Melbourne: Stamford Plaza 111 Little Collins Street, Melbourne	Wednesday 15 July 2015, 10.00am-11.00am local time	Click here to register Melbourne Session
Sydney: ASX Auditorium, Exchange Square, 20 Bridge Street, Sydney	Thursday 16 July, 10.00am-11.00am local time	Click here to register Sydney Session
Adelaide: Adelaide Convention Centre, North Terrace, Adelaide South	Wednesday 22 July 2015, 11.30am-12:30 local time	Click here to register Adelaide Session
Perth: Rydges 815 Hay Street, Perth	Thursday 23 July 2015, 10.00am to 11.00am local time	Click here to register Perth Session

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