

AMO Trade Acceptance Service

Business & Technical Overview

Version: Draft 0.5
Publication date: Thursday, 28 January 2010
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1 Overview

1.1 Purpose of document

This document provides a list of message type descriptions and transaction id usage for all new messages that are to be introduced in connection with the ASX group's new service offering to potential operators of trade execution platforms for CHES-eligible ASX-quoted securities, to be known as the Trade Acceptance Service.

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1.2 Glossary

Acronym	Definition
ACH	Australian Clearing House Pty Ltd
AMO	Approved Market Operator
ASTC	ASX Settlement & Transfer Coporation Pty Ltd
CLOB	Central Limit Order Book
CP	Clearing Participant
SP	Settlement Participant
TP	Trading Participant

2 Scope of Release

2.1 Enhancement

The enhancements proposed within this document are designed in order to facilitate the delivery of a Trade Acceptance Service to trade-execution-only platforms for CHESSE-eligible ASX-quoted securities.

This includes:

- a. Provision of an electronic trade acceptance/rejection service for transactions effected through an AMO's trade facility to enable and manage the acceptance of transactions and trade registration in CHESSE;
- b. Extension of the current trade validation service performed by ACH to incorporate AMO transactions which, provided they pass the checks set out in the standards promulgated under the legal terms for the provision of the Trade Acceptance Service, will be eligible for clearing and settlement subject to and in accordance with the operating rules of ACH and ASTC; and
- c. Technical and operational support ("Helpdesk") and business relationship management services.

2.1.1 Impacted Parties

All of the new messages described in this document are passed to or from CHESSE and the Approved Market Operator (AMO).

ACH and ASTC Participants should note that although the new messages listed in this document are specific to AMOs there will be resultant slight change to the content/usage of some fields of the CHESSE MT 164 messages (refer Section 2.1.4 below).

CHESSE will maintain a register which records, for each Clearing Participant, the product groups (e.g. Equities [EQY], Warrants [WAR], etc.) which the Clearing Participant is authorised under the ACH Clearing Rules to clear in relation to each AMO. Should CHESSE receive a trade registration request from an AMO for a Participant which is not authorised under the ACH Clearing Rules to clear the product to which the trade relates in relation to that AMO, the trade will be rejected to the AMO and nothing will be passed on to the Clearing Participant.

2.1.2 New Messages

097 - Price Registration Notification

098 - Price Notification Acceptance

100 - Trade Removal Notification

161 - Trade Registration Request

184 - Trade Registration Acceptance

2.1.3 Modified existing messages

None – although see below.

2.1.4 Impact on CHES message fields

CHES MT 164 – Notified Trade messages issue to Participants for trades derived on non-ASX platforms will henceforth contain a condition code indicating the AMO from which the trade derived. Additionally a new Trade Reason value will be supplied in the 164 message indicating from which AMO the trade derives.

ACH and ASTC Participants should note that although the new messages listed in this document are specific to operators of trade execution platforms there will be resultant change to the format of Transaction Identifiers (Transaction IDs), specifically in relation to the Serial Trade Qualifier, Trade Serial Number and Trade Date sub-fields transmitted for CHES MT 164 messages.

The change will enable ACH and ASTC Participants to identify which AMO generated the trade being reported in each CHES MT 164 message.

DRAFT

3 Message Type Descriptions

This section provides a list of new message types that will be introduced as a result of the AMO Trade Acceptance Service. Additionally it provides information about their purpose, message content and transmission cut-off times. The message structure representation of bit maps is also provided.

3.1 Price Registration Notification

097-01

Price Registration Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128
129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192
193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
5	Price Run Type	1 Character	M
12	Price Run Date	8 Numeric	M
13	Last Date Traded	8 Numeric	M
24	Last Traded Time	6 Numeric	M
48	Transaction Id	16 Character	M
106	Price Run Time	6 Numeric	M
146	Basis of Quotation 1	2 Character	O
147	Basis of Quotation 2	2 Character	O
148	Basis of Quotation 3	2 Character	O
149	Basis of Quotation 4	2 Character	O
150	Basis of Quotation 5	2 Character	O
194	Bid Time	6 Numeric	M
196	Ask Time	6 Numeric	M
199	Bid Price	15 Numeric, 4 decimal	M
211	Ask Price	15 Numeric, 4 decimal	M
212	First Trade Price	15 Numeric, 4 decimal	M
213	Highest Trade Price	15 Numeric, 4 decimal	M
214	Lowest Trade Price	15 Numeric, 4 decimal	M
215	Last Trade Price	15 Numeric, 4 decimal	M

- **Purpose**

To enable an Approved Market Operator to report price information to ACH/ASTC.

- **Sender**

Approved Market Operator

- **Recipient**

CHESS

- **Transmission Cut-off Times**

18:00 (Sydney time)

- **Prerequisites**

None

3.2 Price Notification Acceptance

098-01

Price Notification Acceptance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose

To notify an Approved Market Operator that a valid Price Notification has been received by ACH/ASTC

- Sender

CHESS

- Recipient

Approved Market Operator

- Transmission Cut-off Times

None

- Prerequisites

Receipt of a 097 Price Notification from an Approved Market Operator

3.3 Trade Removal Notification

100-01

Trade Removal Notification

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128

129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192

Bit Position	Field Name	Format	Mandatory/Optional
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M
154	Removal Reason	1 Character	M

- Purpose

To notify an Approved Market Operator that a trade which is the subject of an accepted Trade Registration Request has subsequently been removed from the clearing and settlement facilities by ACH/ASTC.

- Sender

CHES

- Recipient

Approved Market Operator

- Transmission Cut-off Times

By End of Day on the Business Day following the Trade Date specified in the Trade Registration Request. Or, for trades that are registered next day with a back-dated As At Date, the End of Day on the Business Day of the Trade Date specified in the Trade Registration Request.

- Prerequisites

None

3.4 Trade Registration Request

161-01

Trade Registration Request

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64
65	66	67	68	69	70	71	72	73	74	75	76	77	78	79	80
81	82	83	84	85	86	87	88	89	90	91	92	93	94	95	96
97	98	99	100	101	102	103	104	105	106	107	108	109	110	111	112
113	114	115	116	117	118	119	120	121	122	123	124	125	126	127	128
129	130	131	132	133	134	135	136	137	138	139	140	141	142	143	144
145	146	147	148	149	150	151	152	153	154	155	156	157	158	159	160
161	162	163	164	165	166	167	168	169	170	171	172	173	174	175	176
177	178	179	180	181	182	183	184	185	186	187	188	189	190	191	192
193	194	195	196	197	198	199	200	201	202	203	204	205	206	207	208
209	210	211	212	213	214	215	216	217	218	219	220	221	222	223	224
225	226	227	228	229	230	231	232	233	234	235	236	237	238	239	240
241	242	243	244	245	246	247	248	249	250	251	252	253	254	255	256

Bit Position	Field Name	Format	Mandatory/Optional
2	Security Code	12 Character	M
3	Settlement Amount	15 ±Numeric, 2 decimal	M
13	Trade Date	8 Numeric	M
19	Receiving PID	5 Numeric	M
20	Delivering PID	5 Numeric	M
24	Trade Execution Time	6 Numeric	M
48	Transaction Id	16 Character	M
52	Unit Quantity	11 Numeric	M
79	Message Type	2 Character	M
94	As At Date	8 Numeric	O
115	Condition Code 1	2 Character	M
116	Condition Code 2	2 Character	O
117	Condition Code 3	2 Character	O
118	Condition Code 4	2 Character	O
119	Condition Code 5	2 Character	O
120	Condition Code 6	2 Character	O
121	Condition Code 7	2 Character	O
122	Condition Code 8	2 Character	O
123	Buyer Id	4 Numeric	M
124	Seller Id	4 Numeric	M



ASX

AMO Trade Acceptance Service

146	Basis of Quotation 1	2	Character	O
147	Basis of Quotation 2	2	Character	O
148	Basis of Quotation 3	2	Character	O
149	Basis of Quotation 4	2	Character	O
150	Basis of Quotation 5	2	Character	O
195	Serial Trade Qualifier	4	Character	M
196	Trade Sales Slip Number	6	Numeric	M
199	Unit Price	15	Numeric, 4 decimal	M

- **Purpose**

To enable an Approved Market Operator to submit or cancel a trade so the trade (or cancellation) can be validated for acceptance into the clearing and settlement facilities by ACH/ASTC.

The Approved Market Operator will be advised if the trade registration request was accepted or rejected by ACH/ASTC.

- **Sender**

Approved Market Operator

- **Recipient**

CHESS

- **Transmission Cut-off Times**

17:30 (Sydney time)

Note that time limits for transmission of the Trade Registration Request message, from the time of trade execution, will also apply: refer Operational and Technical Standards applicable to the Trade Acceptance Service.

- **Prerequisites**

None

3.5 Trade Registration Acceptance

184-01

Trade Registration Acceptance

1	2	3	4	5	6	7	8	9	10	11	12	13	14	15	16
17	18	19	20	21	22	23	24	25	26	27	28	29	30	31	32
33	34	35	36	37	38	39	40	41	42	43	44	45	46	47	48
49	50	51	52	53	54	55	56	57	58	59	60	61	62	63	64

Bit Position	Field Name	Format	Mandatory/Optional
12	Settlement Date	8 Numeric	M
21	Processing Timestamp	22 Character	M
48	Transaction Id	16 Character	M
62	Origin Transaction Id	16 Character	M

- Purpose

To notify an Approved Market Operator that the trade which is the subject of a Trade Registration Request was approved for acceptance into the clearing and settlement facilities by ACH/ASTC.

- Sender

CHESS

- Recipient

Approved Market Operator

- Transmission Cut-off Times

None

- Prerequisites

Receipt of a 161 Trade Registration Request from an Approved Market Operator

4 Message Transaction Id Usage

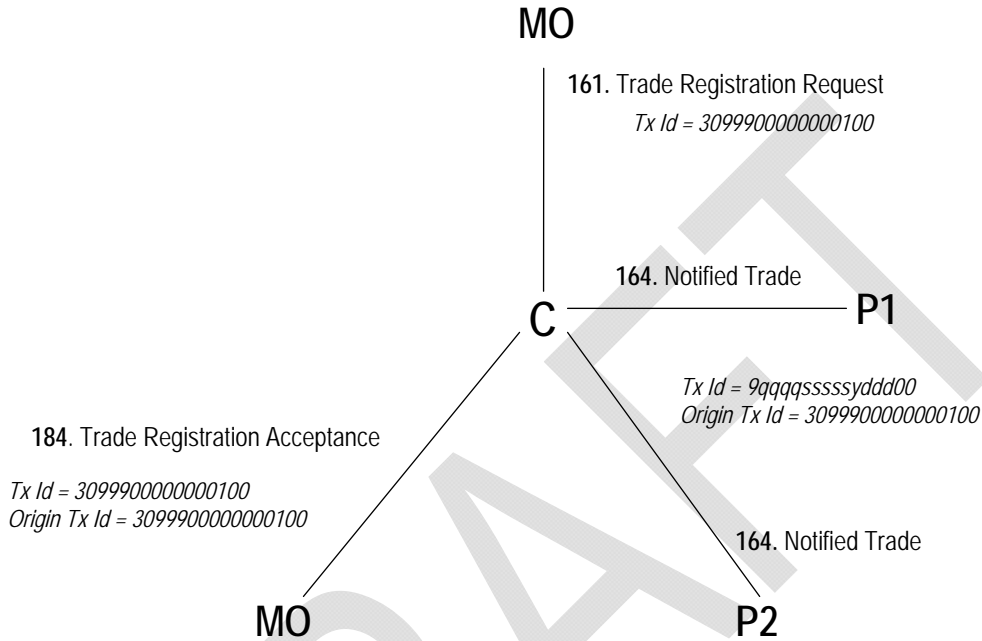
The following table describes the symbols used in the diagrams:

Symbol	Description
C	CHESS
P	Participant
MO	Market Operator
R	Registry
B	Payment provider involved in transferring funds
U	User of the CHESS system
F/IM	Fund/Investment Manager
Admin	Represents the manual data entry functions of the Clearing House
OFR	Offeror in a takeover offer
ACH	Australian Clearing House
WI	Warrant Issuer Agent

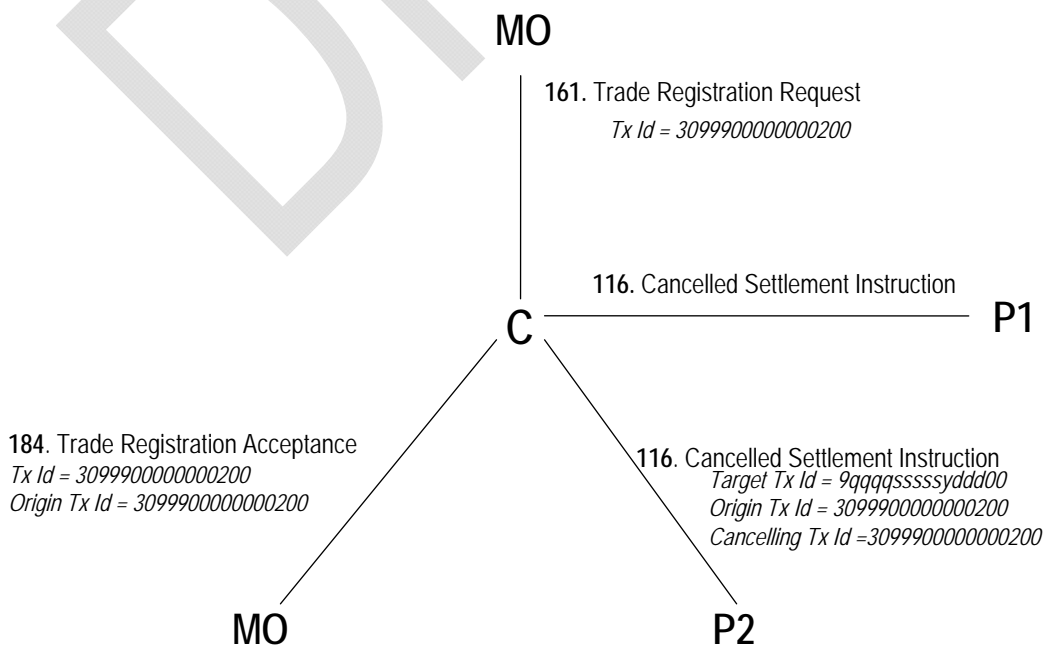
The "MO" code is new reflecting the new category of CHESS message recipients for the Trade Acceptance Service – namely the Approved Market Operators.

4.1 EIS Section-6

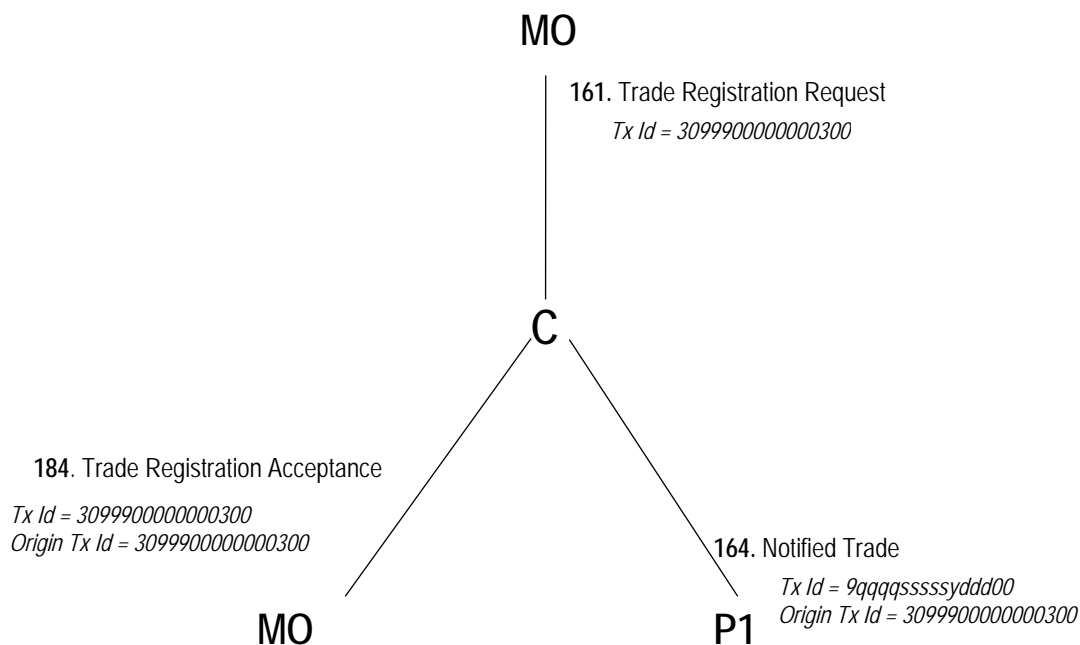
4.1.1 Trade Registration Request – Acceptance (Non-Crossing)



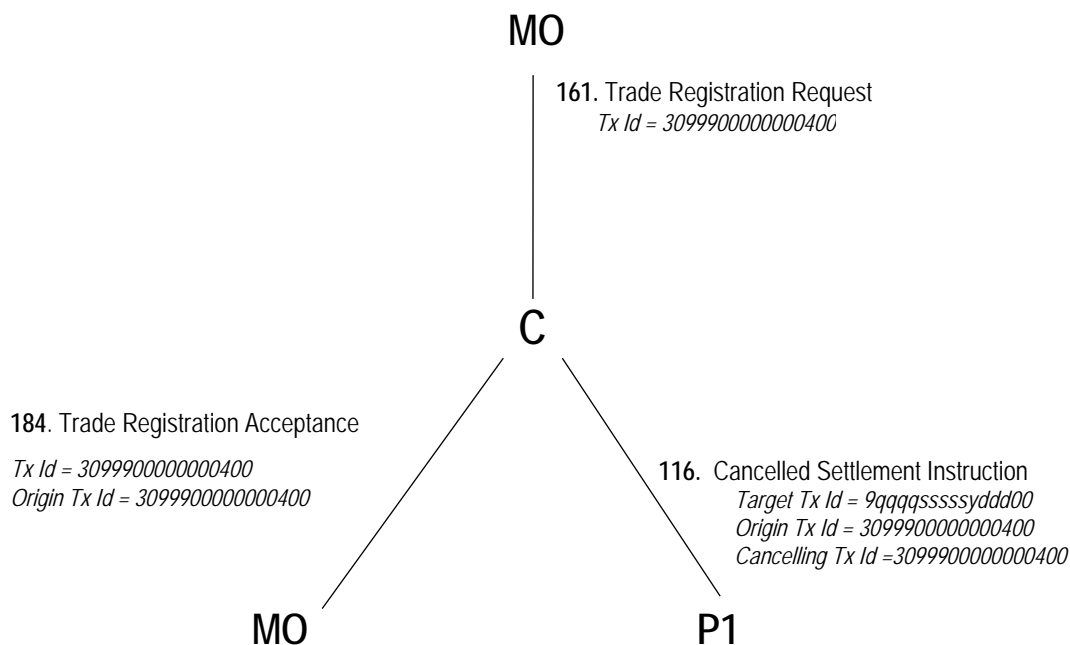
4.1.2 Trade Registration Request – Cancellation (Non-Crossing)



4.1.3 Trade Registration Request – Acceptance (Crossing¹)



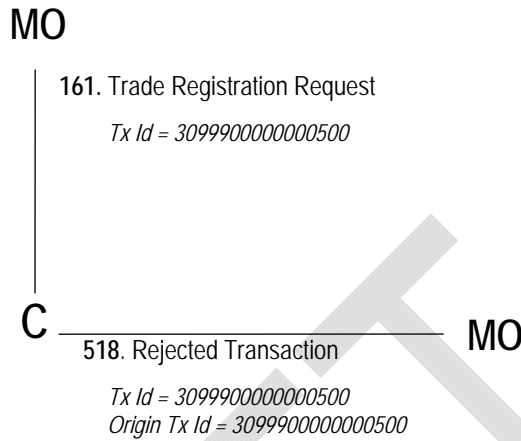
4.1.4 Trade Registration Request – Cancellation (Crossing²)



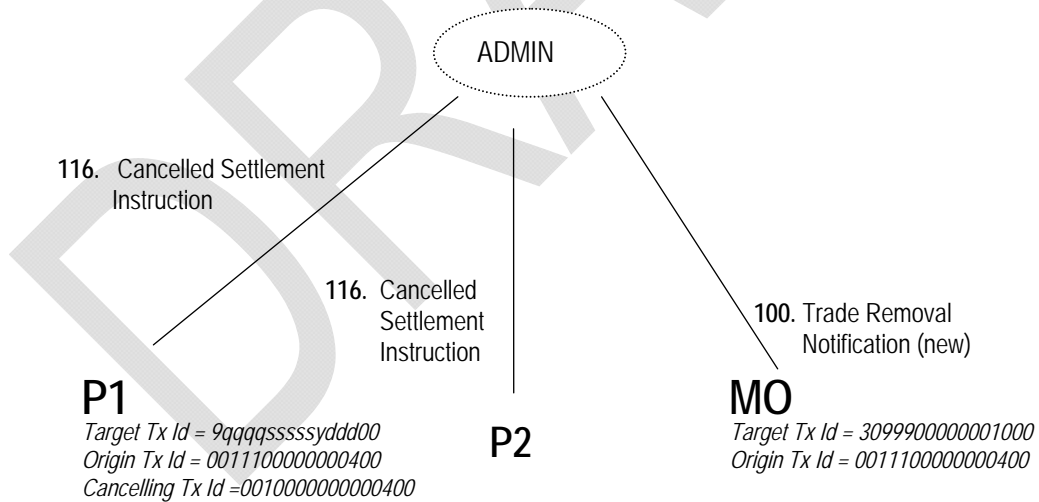
¹ Relates to same CP/SP as P₁

² Relates to same CP/SP as P₁

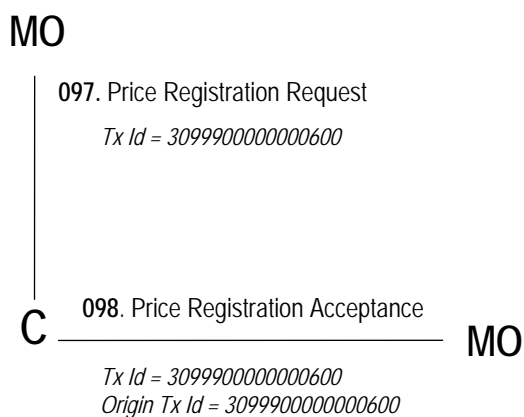
4.1.5 Trade Registration Request – Rejection



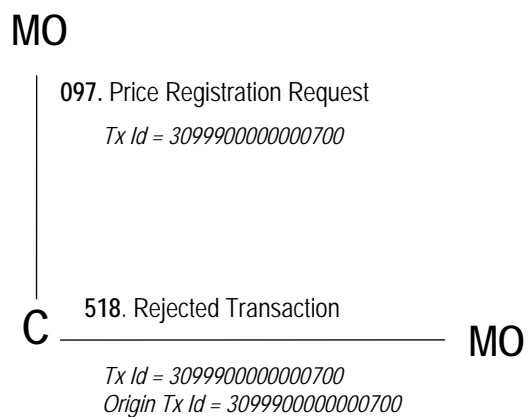
4.1.6 Trade Removal Notification



4.1.7 Price Registration Notification – Acceptance



4.1.8 Price Registration Notification – Rejection



4.2 Field Formats

This section describes the fields used within the new messages described in this document.

As At Date	Per existing CHES EIS Section 8.6.
Format	8 Numerics
Definition	Specifies the date on which a trade was transacted.
Allowable Values	(See Date)
Ask Price	NEW
Format	9 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition	The price in which someone is prepared to sell the security.
Allowable Values	
Ask Time	NEW
Format	6 Numerics
Definition	The time at which the Ask Price was quoted for the security.
Allowable Values	
Basis of Quotation 1	Per existing CHES EIS Section 8.6.
Format	2 Characters
Definition	States the basis of quotation of a trade if effected outside the default basis of quotation.
Allowable Values	
'CD' Cum Dividend	'CL' Call Due
'XD' Ex Dividend	'CP' Call Paid
'CR' Cum Rights Issue	'CT' Conditional Trading
'XR' Ex Rights Issue	'NX' New Ex Interest
'CB' Cum Bonus Issue	'PA' Protection Available
'XB' Ex Bonus Issue	'PU' Protection Unavailable
'CE' Cum Entitlement	'RA' Receiver Appointed
'XE' Ex Entitlement	'RE' Reconstructed
'CC' Cum Capital return	'T1' Trade Date Plus 1 Business Day
'XC' Ex Capital return	'T2' Trade Date Plus 2 Business Days
'CM' Cum Premium return	'T3' Trade Date Plus 3 Business Days
'XM' Ex Premium return	'TA' Trade Date Plus 10 Business Days
'CF' Cum Takeover Offer	'TB' Trade Date Plus 15 Business Days
'XF' Ex Takeover Offer	'TC' Trade Date Plus 20 Business Days
'CZ' Cum Non Pro Rata Balance	'TD' Trade Date Plus 25 Business Days
'XZ' Ex Non Pro Rata Balance	'TE' Trade Date Plus 30 or over Business Days
'CI' Cum Interest	
'XI' Ex Interest	
'CQ' Cum Equal Access Buyback	
'XQ' Ex Equal Access Buyback	
Basis Of Quotation 2	Per existing CHES EIS Section 8.6.
Format	2 Characters
Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)
Basis Of Quotation 3	Per existing CHES EIS Section 8.6.
Format	2 Characters

Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)
Basis Of Quotation 4	Per existing CHESSE EIS Section 8.6.
Format	2 Characters
Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)
Basis Of Quotation 5	Per existing CHESSE EIS Section 8.6.
Format	2 Characters
Definition	States the actual relationship of a securities movement to current corporate actions for the underlying security.
Allowable Values	(See Basis of Quotation 1)
Bid Price	NEW
Format	9 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition	The price in which someone is prepared to buy the security.
Allowable Values	
Bid Time	NEW
Format	6 Numerics
Definition	The time at which the Bid Price was quoted for the security.
Allowable Values	
Buyer Id	Per existing CHESSE EIS Section 8.6.
Format	4 Numerics
Definition	Identifies the buying broker who made the trade.
Allowable Values	
Condition Code 1	Per existing CHESSE EIS Section 8.6 *
Format	2 Characters
Definition	* For AMO trades only, Condition Code 1 will represent what market the trade was executed from.
Allowable Values	For ASX trades this indicates the condition under which the sale was effected.
AB	ASX Bookbuild
AM	ASX Match
BB	Bulletin Board Trade
BK	Buy Back
BL	Blocked from Transaction Netting
BP	Booking Purposes Only
BV	Book Value Switch
BW	Buy Write
BZ	Board Broker Sale
CM	Single Sided Combination
CT	Combination Trade
DR	Directed Reporting
EC	Exercise of Call
EF	Delivery of a Future
EQ	Equity/Option Combination
EP	Exercise of Put

ET	EFT Special Trades
FD	Forward Delivery
FM	Foreign Market
IA	Interstate Accounting
LN	Loan
LR	Loan Return
LT	Late Trade - Post 5PM
L1	Late Trade - Book Squaring
L2	Late Trade - Hedging Trades
L3	Late Trade - Order Completion
L4	Late Trade - Error Rectification
L5	Late Trade - Put Through
MI	Market Information
OD	Overseas Delivery
OL	Odd Lot
ON	Overnight
OR	Overseas Resident (Foreign to Foreign)
OS	Overseas
PR	Prompt Re-booking
PS	Prompt Sale
P1	Put Through - \$1M
P2	Put Through - \$0.5M
SA	Special Crossing Sale
SH	Short
SO	Other Special Sale
SP	Special - Derivatives
S1	Special - \$2.5M
S2	Special - \$1M
S3	Special - \$0.5M
ST	Price Stabilisation
SX	Special Sale Portfolio
TM	Tailor Made Combination
VW	VWAP Trade
XT	Crossed Trade

Condition Code 2 Per existing CHES EIS Section 8.6.
 Format 2 Characters
 Definition Indicates the condition under which the sale was effected.
 Allowable Values (See Condition Code 1)

Condition Code 3 Per existing CHES EIS Section 8.6.
 Format 2 Characters
 Definition Indicates the condition under which the sale was effected.
 Allowable Values (See Condition Code 1)

Condition Code 4 Per existing CHES EIS Section 8.6.
 Format 2 Characters
 Definition Indicates the condition under which the sale was effected.
 Allowable Values (See Condition Code 1)

Condition Code 5 Per existing CHES EIS Section 8.6.
 Format 2 Characters
 Definition Indicates the condition under which the sale was effected.
 Allowable Values (See Condition Code 1)

Condition Code 6	Per existing CHES EIS Section 8.6.
Format	2 Characters
Definition	Indicates the condition under which the sale was effected.
Allowable Values (See Condition Code 1)	
Condition Code 7	Per existing CHES EIS Section 8.6.
Format	2 Characters
Definition	Indicates the condition under which the sale was effected.
Allowable Values (See Condition Code 1)	
Condition Code 8	Per existing CHES EIS Section 8.6.
Format	2 Characters
Definition	Indicates the condition under which the sale was effected.
Allowable Values (See Condition Code 1)	
Date	Per existing CHES EIS Section 8.6.
Format	8 Numerics
Definition	Specifies the date that an entity was created in CHES. An entity may be a transaction (eg. transfer) or a historical record (eg. holding daily balance).
Allowable Values	A valid date in the format YYYYMMDD, eg. 19930625
Delivering PID	Per existing CHES EIS Section 8.6.
Format	5 Numerics
Definition	The PID of the participant who controls the delivering HIN of a securities movement.
Allowable Values (See PID)	
First Trade Price	NEW
Format	9 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition	The price of the first on market trade for current business day.
Allowable Values	
Highest Trade Price	NEW
Format	9 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition	The highest price at which the security has been sold on the market for the current business day.
Allowable Values	
Last Date Traded	NEW
Format	8 Numerics
Definition	Indicates the date the last on-market price was captured.
Allowable Values	(See Date)
Last Trade Price	NEW
Format	9 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition	The last price at which a sale occurred for specified security.
Allowable Values	
Last Traded Time	NEW
Format	6 Numerics
Definition	Indicates the time the last on-market price was captured.
Allowable Values	

Lowest Trade Price	NEW
Format	9 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition	The lowest price at which the security has been traded on the market for the current business day.
Allowable Values	
Message Type	NEW
Format	2 Character
Definition	Identifies the type of trade registration being transmitted (Acceptance or Cancellation)
Allowable Values	"TA" for Trade Registration or "TC" for Trade Cancellation
PID	Per existing CHES EIS Section 8.6.
Format	5 Numerics
Definition	Participant Identifier. Unique identifier of a CHES participant allocated by ACH.
Allowable Values	Any value allocated by ASX to identify a CHES participant
Previous Last Trade Date	NEW
Format	8 Numerics
Definition	Indicates the previous days last date for which the sale occurred for specified security.
Allowable Values	(See Date)
Previous Last Trade Price	NEW
Format	9 Numerics, 4 decimal places (expressed as cents to four decimal places).
Definition	The previous days last price at which the trade was reported.
Price Run Date	NEW
Format	8 Numeric
Definition	Indicates the date for which the price data is applicable.
Allowable Values	(See Date)
Price Run Time	NEW
Format	6 Numeric
Definition	Indicates the time for which the price data is applicable.
Price Run Type	NEW
Format	1 Character
Definition	Identifies the type of price run being transmitted
Allowable Values	"C" for Closing or "I" for Intraday
Processing Timestamp	Per existing CHES EIS Section 8.6.
Format	22 Characters
Definition	The time at which a transaction was processed to the described state or the time at which a reporting request was processed. (NB. If this value is part of a message created by a user - the timestamp is the time at which the transaction is processed by the user)
Allowable Values	A valid timestamp in the format : YYYYMMDDYYYYMMDDHHMMSS where the first YYYYMMDD is the business date; and the next YYYYMMDDHHMMSS is the processing date and time.

Receiving PID	Per existing CHESSE EIS Section 8.6.
Format	5 Numerics
Definition	The PID of the participant which controls the receiving HIN of a securities movement.
Allowable Values	(See PID)
Removal Reason	NEW
Format	1 Character
Definition	Reason for the Settlement Instruction being removed.
Allowable Values	TBA
Security Code	Per existing CHESSE EIS Section 8.6.
Format	12 Characters
Definition	Identifies the security or non-security payment type that is the subject of a message sent between a user and ACH. May be either an ISIN security code or an ASX code. On input, either is accepted, on output, the previously requested format is used.
Allowable Values	A valid ISIN code in the format prescribed by ISO Standard 6166; or a valid security code issued by ASX, left justified and followed by spaces; or one of the following ASX codes assigned to identify miscellaneous payments: PYYAPP: Application payment PYYCLM: Payment related to a type of claim, e.g. dividend PYYDER: Derivatives related payment PYYDIV: Dividend related payment PYYOTC: Payment for OTC derivatives instruments PYYPFT: Portfolio related payment PYYSET: Adjustment to settlement obligation PYYSLN: Security lending payment PYYTKO: Takeover proceeds payment PYYWAR: Warrant related payment
Seller Id	Per existing CHESSE EIS Section 8.6.
Format	4 Numeric
Definition	Identifies the selling broker who made the trade.
Allowable Values	
Settlement Amount	Per existing CHESSE EIS Section 8.6.
Format	15 Signed Numerics, 2 decimal places
Definition	The dollar value amount that is settled in a settlement instruction.
Allowable Values	The sign is normally positive ('+') which indicates the value is moving contra to the units (ie., the receiving participant is paying the settlement amount). In unusual situations, the sign is negative ('-') which indicates the value moves with the units (ie., the delivering participant is paying the settlement amount). If no units are specified in the message, positive indicates receiving funds, negative indicates paying funds.
Settlement Date	Per existing CHESSE EIS Section 8.6.
Format	8 Numerics
Definition	Identifies a settlement cycle in which settlement instructions are settled by effecting transfers on the CHESSE subregister and the transfer of funds.
Allowable Values	Any date specified by ASX as a settlement day.

Trade Date	Per existing CHES EIS Section 8.6.
Format	8 Numerics
Definition	The date on which a trade was originally made and the basis for calculating settlement date.
Allowable Values	(See Date)
Trade Sales Slip Number NEW	
Format	6 Numeric
Definition	A unique 6 digit identifier for trades originating on the AMO platform.
Allowable Values	
Serial Trade Qualifier NEW	
Format	4 Character
Definition	A four-digit identifier to aid in the identification of trades.
Allowable Values	
Transaction Id (161/097) NEW	
Format	16 Character
Description	For the Trade Registration message submitted by AMO into CHES must have a unique user (i.e. AMO) generated Transaction ID. This transaction ID will also compose of 16 characters with sub-fields within these characters as per below: <ul style="list-style-type: none"> • UIC (Numeric 5) – AMOs own UIC allocated by C & S Operations; • User Defined Identifier (Character 9) – Any data but must be unique across all other transactions submitted into CHES by AMO; and • Accrual Number (Numeric 2) – Must contain zeroes when user generated transaction id.
Transaction Id (164) CHANGED	
Format	16 Character
Description	Notified Trades generated via ASX will be as currently defined as per Section 8.3.2 of the existing CHES EIS. However the CHES-generated transaction ID for Notified Trades generated from a Trade Registration Request submitted by an Approved Market Operator will comprise the following sub-fields: <ul style="list-style-type: none"> • filler (1 numeric) - This sub-field will always contain a numeric nine ("9"). • Serial Trade Qualifier (4 numeric) – refer description above. • Trade Sales Slip Number (6 numeric) - refer description above. • Trade Date (3 numeric) - TBC • filler (2 numeric) - This sub-field will always contain numeric zeroes ("0").
Unit Price	Per existing CHES EIS Section 8.6.
Format	11 Numerics, 6 decimal places
Definition	The unit price at which the trade was transacted.
Allowable Values	
Unit Quantity	Per existing CHES EIS Section 8.6.
Format	11 Numerics
Definition	The number of units of a security that a particular transaction moves.
Allowable Values	