



ASX CLEAR PARTICIPANTS

As of October 2020

1. ASX CLEAR PARTICIPANTS	Market	Clearing ID
ABN AMRO Clearing Sydney Pty Ltd	Cash Market	1192
ABN AMRO Clearing Sydney Pty Ltd	Cash Market	1193
ABN AMRO Clearing Sydney Pty Ltd	Options	1192
Australian Investment Exchange Limited	Cash Market	6381
Bell Potter Securities Limited	Cash Market	1543
BNP Paribas Securities Services	Cash Market	1892
Burrell & Co Holdings Pty Ltd	Cash Market	4094
Burrell & Co Holdings Pty Ltd	Options	4092
Citigroup Global Markets Australia Pty Ltd	Cash Market	2033
Citigroup Global Markets Australia Pty Ltd	Options	2032
Citigroup Securities Clearing Australia Limited	Cash Market	3033
CMC Markets Stockbroking Limited	Cash Market	2662
CMC Markets Stockbroking Limited	Options	2662
Commonwealth Securities Limited	Cash Market	1202
Commonwealth Securities Limited	Cash Market	1402
Commonwealth Securities Limited	Options	1402
Credit Suisse Equities (Australia) Limited	Cash Market	1104
Credit Suisse Equities (Australia) Limited	Options	1102
Deutsche Securities Australia Limited	Cash Market	2105
E.L. & C. Baillieu Limited	Cash Market	3118
E.L. & C. Baillieu Limited	Options	3112
Euroz Hartleys Limited	Cash Market	6086
FinClear Pty Ltd	Cash Market	1822
Goldman Sachs Australia Pty Ltd	Cash Market	3614
Goldman Sachs Australia Pty Ltd	Options	3614
Hartleys Limited	Cash Market	6086
Instinet Australia Pty Limited	Cash Market	2172

Interactive Brokers Australia Pty Ltd	Options	2392
J.P. Morgan Securities Australia Limited	Cash Market	2973
J.P. Morgan Securities Australia Limited	Options	2972
Macquarie Bank Limited	Cash Market	1572
Macquarie Bank Limited	Options	1572
Macquarie Equities Limited	Cash Market	2442
Macquarie Equities Limited	Options	2442
Merrill Lynch Equities (Australia) Limited	Options	3662
Morgan Stanley Australia Securities Limited	Options	2992
Morgan Stanley Wealth Management Australia Pty Ltd	Cash Market	3383
Morgan Stanley Wealth Management Australia Pty Ltd	Options	3382
Morgans Financial Limited	Cash Market	4064
Morgans Financial Limited	Options	4062
Morrison Securities Pty Limited	Cash Market	1089
Morrison Securities Pty Limited	Options	1089
OpenMarkets Australia Limited	Cash Market	1136
OpenMarkets Australia Limited	Options	1135
Pershing Securities Australia Pty Ltd	Cash Market	1792
Pershing Securities Australia Pty Ltd	Options	1792
RBC Securities Australia Pty Ltd	Cash Market	2542
State One Stockbroking Limited	Cash Market	6788
Third Party Platform Pty Ltd	Cash Market	2552
Third Party Platform Pty Ltd	Options	2552
UBS Securities Australia Ltd	Cash Market	1505
UBS Securities Australia Ltd	Cash Market	1506
UBS Securities Australia Ltd	Options	1502
UBS Securities Australia Ltd	Options	1505
Virtu ITG Australia Limited	Cash Market	3453
Wealthhub Securities Limited	Cash Market	1226
Wealthhub Securities Limited	Cash Market	1227
Wealthhub Securities Limited	Options	1226
Wealthhub Securities Limited	Options	1227

1. THIRD PARTY CLEARING ARRANGEMENTS

Trading ID	Market	Trading Participant	Third Party Clearing ID	Third Party Clearing Participant
251-2	Cash Market	BGC Securities (Australia) Pty Limited	1193	ABN AMRO Clearing Sydney Pty Ltd
251-2	Options	BGC Securities (Australia) Pty Limited	1192	ABN AMRO Clearing Sydney Pty Ltd
130-1	Cash Market	BTIG Australia Limited	1193	ABN AMRO Clearing Sydney Pty Ltd
130-1	Options	BTIG Australia Limited	1192	ABN AMRO Clearing Sydney Pty Ltd
130-3	Cash Market	BTIG Australia Limited	1193	ABN AMRO Clearing Sydney Pty Ltd
252-2	Cash Market	Citadel Securities (Hong Kong) Limited	1193	ABN AMRO Clearing Sydney Pty Ltd
952-2	Options	Citadel Securities (Hong Kong) Limited	1192	ABN AMRO Clearing Sydney Pty Ltd
265-2	Cash Market	CMC Markets Stockbroking Limited	1193	ABN AMRO Clearing Sydney Pty Ltd
220-2	Cash Market	Eclipse Futures (HK) Ltd	1193	ABN AMRO Clearing Sydney Pty Ltd
220-2	Options	Eclipse Futures (HK) Ltd	1192	ABN AMRO Clearing Sydney Pty Ltd
941-2	Options	Eclipse Futures (HK) Ltd	1192	ABN AMRO Clearing Sydney Pty Ltd
951-2	Cash Market	IMC Pacific Pty Ltd	1193	ABN AMRO Clearing Sydney Pty Ltd
951-2	Options	IMC Pacific Pty Ltd	1192	ABN AMRO Clearing Sydney Pty Ltd
609-2	Cash Market	Jump Trading Pacific Pte Ltd	1193	ABN AMRO Clearing Sydney Pty Ltd
916-2	Cash Market	Liquid Capital Australia Pty Ltd	1193	ABN AMRO Clearing Sydney Pty Ltd
916-2	Options	Liquid Capital Australia Pty Ltd	1192	ABN AMRO Clearing Sydney Pty Ltd
156-3	Cash Market	Macquarie Securities (Australia) Limited	1193	ABN AMRO Clearing Sydney Pty Ltd
224-3	Cash Market	Mako APAC Pte Ltd	1193	ABN AMRO Clearing Sydney Pty Ltd
224-3	Options	Mako APAC Pte Ltd	1192	ABN AMRO Clearing Sydney Pty Ltd
924-3	Cash Market	Mako APAC Pte Ltd	1193	ABN AMRO Clearing Sydney Pty Ltd
924-3	Options	Mako APAC Pte Ltd	1192	ABN AMRO Clearing Sydney Pty Ltd
945-4	Options	Susquehanna Pacific Pty Ltd	1192	ABN AMRO Clearing Sydney Pty Ltd
959-1	Cash Market	Tibra Trading Pty Ltd	1193	ABN AMRO Clearing Sydney Pty Ltd
959-1	Options	Tibra Trading Pty Ltd	1192	ABN AMRO Clearing Sydney Pty Ltd
988-3	Cash Market	Vivienne Court Trading Pty Ltd	1193	ABN AMRO Clearing Sydney Pty Ltd
258-2	Cash Market	Barclays Capital Asia Limited	1892	BNP Paribas Securities Services
239-2	Cash Market	Interactive Brokers Australia Pty Ltd	1892	BNP Paribas Securities Services
409-5	Cash Market	Burrell Stockbroking Pty Ltd ¹	4094	Burrell & Co Holdings Pty Ltd
409-5	Options	Burrell Stockbroking Pty Ltd ¹	4092	Burrell & Co Holdings Pty Ltd

231-2	Cash Market	CLSA Australia Pty Ltd	3033	Citigroup Securities Clearing Australia Limited
261-2	Cash Market	Jefferies (Australia) Securities Pty Ltd	3033	Citigroup Securities Clearing Australia Limited
156-2	Cash Market	Macquarie Securities (Australia) Limited	1572	Macquarie Bank Limited
156-2	Options	Macquarie Securities (Australia) Limited	1572	Macquarie Bank Limited
802-2	Cash Market	Macquarie Securities (Australia) Limited	1572	Macquarie Bank Limited
945-2	Cash Market	Susquehanna Pacific Pty Ltd	3666	Merrill Lynch Equities (Australia) Limited
945-2	Options	Susquehanna Pacific Pty Ltd	3662	Merrill Lynch Equities (Australia) Limited
945-3	Cash Market	Susquehanna Pacific Pty Ltd	3666	Merrill Lynch Equities (Australia) Limited
236-3	Cash Market	Virtu Financial Asia Pty Ltd	3666	Merrill Lynch Equities (Australia) Limited
236-4	Cash Market	Virtu Financial Asia Pty Ltd	3666	Merrill Lynch Equities (Australia) Limited
601-3	Options	Argonaut Securities Pty Ltd	1792	Pershing Securities Australia Pty Ltd
601-3	Cash Market	Argonaut Securities Pty Ltd	1792	Pershing Securities Australia Pty Ltd
395-2	Cash Market	Ascot Securities Pty Ltd	1792	Pershing Securities Australia Pty Ltd
395-2	Options	Ascot Securities Pty Ltd	1792	Pershing Securities Australia Pty Ltd
249-3	Cash Market	CCZ Statton Equities Pty Ltd	1792	Pershing Securities Australia Pty Ltd
147-2	Cash Market	Evans and Partners Pty Ltd	1792	Pershing Securities Australia Pty Ltd
147-2	Options	Evans and Partners Pty Ltd	1792	Pershing Securities Australia Pty Ltd
211-1	Cash Market	Moelis Australia Securities Pty Ltd	1792	Pershing Securities Australia Pty Ltd
107-1	Cash Market	Petra Capital Pty Ltd	1792	Pershing Securities Australia Pty Ltd
154-7	Cash Market	Bell Potter Securities Limited	2552	Third Party Platform Pty Ltd
154-7	Options	Bell Potter Securities Limited	2552	Third Party Platform Pty Ltd