



ASX MARKET PARTICIPANTS

As of October 2020

1. ASX Market Participants	Market	Trading ID
ABN AMRO Clearing Sydney Pty Ltd	Cash Market	119-2
ABN AMRO Clearing Sydney Pty Ltd	Options	119-2
ABN AMRO Clearing Sydney Pty Ltd	Cash Market	119-3
Argonaut Securities Pty Ltd	Cash Market	601-3
Argonaut Securities Pty Ltd	Options	601-3
Ascot Securities Pty Ltd	Cash Market	395-2
Ascot Securities Pty Ltd	Options	395-2
Australian Investment Exchange Limited	Cash Market	638-1
Australian Investment Exchange Limited	Cash Market	829-2
Australian Investment Exchange Limited	Cash Market	829-4
Barclays Capital Asia Limited	Cash Market	258-2
Bell Potter Securities Limited	Cash Market	154-3
Bell Potter Securities Limited	Options	154-3
BGC Securities (Australia) Pty Limited	Cash Market	251-2
BGC Securities (Australia) Pty Limited	Options	251-2
BNP Paribas Securities Services	Cash Market	189-2
BNP Paribas Securities Services	Options	189-2
Bridges Financial Services Pty Ltd	Cash Market	106-3
BTIG Australia Limited	Cash Market	130-1
BTIG Australia Limited	Options	130-1
BTIG Australia Limited	Cash Market	130-3
Burrell Stockbroking Pty Ltd	Cash Market	409-5
Burrell Stockbroking Pty Ltd	Options	409-5
Canaccord Genuity Financial Limited	Cash Market	677-7
Canaccord Genuity Financial Limited	Options	677-7
CCZ Statton Equities Pty Ltd	Cash Market	249-3
CCZ Statton Equities Pty Ltd	Cash Market	249-3

Citadel Securities (Hong Kong) Limited	Cash Market	252-2
Citigroup Global Markets Australia Pty Ltd	Cash Market	203-2
Citigroup Global Markets Australia Pty Ltd	Cash Market	801-4
CLSA Australia Pty Ltd	Cash Market	231-2
CMC Markets Stockbroking Limited	Cash Market	265-2
CMC Markets Stockbroking Limited	Cash Market	266-2
CMC Markets Stockbroking Limited	Options	266-2
Commonwealth Securities Limited	Cash Market	140-2
Commonwealth Securities Limited	Options	140-2
Credit Suisse Equities (Australia) Limited	Cash Market	110-3
Credit Suisse Equities (Australia) Limited	Options	110-3
Deutsche Securities Australia Limited	Cash Market	210-3
Deutsche Securities Australia Limited	Options	210-3
E.L. & C. Baillieu Limited	Cash Market	311-3
E.L. & C. Baillieu Limited	Options	311-3
Eclipse Futures (HK) Ltd	Cash Market	220-2
Eclipse Futures (HK) Ltd	Options	220-2
Euroz Hartleys Limited	Cash Market	608-6
Euroz Hartleys Securities Limited	Cash Market	102-7
Euroz Securities Limited	Cash Market	102-7
Evans and Partners Pty Ltd	Cash Market	147-2
Evans and Partners Pty Ltd	Options	147-2
Finclear Execution Limited	Cash Market	355-5
Goldman Sachs Australia Pty Ltd	Cash Market	361-4
Goldman Sachs Australia Pty Ltd	Options	361-4
Hartleys Limited	Cash Market	608-6
Instinet Australia Pty Limited	Cash Market	217-2
Interactive Brokers Australia Pty Ltd	Cash Market	239-2
Interactive Brokers Australia Pty Ltd	Options	239-3
Jefferies (Australia) Securities Pty Ltd	Cash Market	261-2
J.P. Morgan Securities Australia Limited	Cash Market	297-2
J.P. Morgan Securities Australia Limited	Options	297-2
J.P. Morgan Securities Australia Limited	Cash Market	805-2

Jump Trading Pacific Pte Ltd	Cash Market	609-2
Liquidnet Australia Pty Ltd	Cash Market	257-2
Liquidnet Australia Pty Ltd	Cash Market	501-2
Macquarie Equities Limited	Cash Market	244-2
Macquarie Equities Limited	Options	244-2
Macquarie Securities (Australia) Limited	Cash Market	156-2
Macquarie Securities (Australia) Limited	Options	156-2
Macquarie Securities (Australia) Limited	Cash Market	156-3
Macquarie Securities (Australia) Limited	Cash Market	802-2
Mako APAC Pte Ltd	Cash Market	224-3
Mako APAC Pte Ltd	Options	224-3
Merrill Lynch Equities (Australia) Limited	Cash Market	366-6
Merrill Lynch Equities (Australia) Limited	Options	366-6
Moelis Australia Securities Pty Ltd	Cash Market	211-1
Morgan Stanley Australia Securities Limited	Cash Market	299-2
Morgan Stanley Australia Securities Limited	Options	299-2
Morgan Stanley Wealth Management Australia Pty Ltd	Cash Market	338-3
Morgan Stanley Wealth Management Australia Pty Ltd	Options	338-3
Morgan Stanley Wealth Management Australia Pty Ltd	Cash Market	338-9
Morgans Financial Limited	Cash Market	406-4
Morgans Financial Limited	Options	406-4
Morrison Securities Pty Limited	Cash Market	108-8
Morrison Securities Pty Limited	Options	108-8
OpenMarkets Australia Limited	Cash Market	113-6
OpenMarkets Australia Limited	Options	113-6
Ord Minnett Limited	Cash Market	233-8
Ord Minnett Limited	Options	233-8
Ord Minnett Limited	Cash Market	233-9
Pershing Securities Australia Pty Ltd	Cash Market	179-1
Pershing Securities Australia Pty Ltd	Options	179-1
Petra Capital Pty Ltd	Cash Market	107-1
Phillip Capital Limited	Cash Market	292-2
Phillip Capital Limited	Options	292-2

RBC Securities Australia Pty Ltd	Cash Market	254-2
Shaw and Partners Limited	Cash Market	298-3
Shaw and Partners Limited	Options	298-3
State One Stockbroking Limited	Cash Market	678-8
Taylor Collison Limited	Cash Market	512-9
Third Party Platform Pty Ltd	Cash Market	255-2
Third Party Platform Pty Ltd	Options	255-2
UBS Securities Australia Ltd	Cash Market	150-2
UBS Securities Australia Ltd	Options	150-2
UBS Securities Australia Ltd	Cash Market	150-5
UBS Securities Australia Ltd	Cash Market	150-6
UBS Securities Australia Ltd	Cash Market	150-8
Velocity Trade Limited	Cash Market	134-2
Velocity Trade Limited	Options	134-2
Virtu Financial Asia Pty Ltd	Cash Market	236-3
Virtu Financial Asia Pty Ltd	Cash Market	236-4
Virtu ITG Australia Limited	Cash Market	345-3
Wealthhub Securities Limited	Cash Market	122-6
Wealthhub Securities Limited	Options	122-6
Wealthhub Securities Limited	Cash Market	122-7
Wealthhub Securities Limited	Options	122-7
Wilsons Advisory and Stockbroking Limited	Cash Market	412-5
Wilsons Advisory and Stockbroking Limited	Options	412-5

**2. ASX MARKET PARTICIPANTS – ETO MARKET
MAKERS****Trading ID**

Liquid Capital Australia Pty Ltd	916-2
Mako APAC Pte Ltd	924-3
UBS Securities Australia Ltd	933-2
J.P. Morgan Securities Australia Limited	937-2
Eclipse Futures (HK) Ltd	941-2
Susquehanna Pacific Pty Ltd	945-2
Susquehanna Pacific Pty Ltd	945-4
IMC Pacific Pty Ltd	951-2
Tibra Trading Pty Ltd	959-1
Vivienne Court Trading Pty Ltd	988-3
