



Register of ASX Clear (Futures) Operating Rule Waivers

16 to 30 April 2015

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Clear (Futures) Operating rules. Waivers are published bi-monthly and include information such as:

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

**For all product enquiries, please contact:
- Customer Service Centre on 131 279**

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Rule Number	4.4(f)
Date	7/04/2015
Participant	G. H. Financials Limited
Waiver Number	WPA150004-002
Decision	ASX grants the waiver pursuant to ASX Clear Futures Operating Rule 9A.3.8
Basis For Decision	<p>Underlying Policy Where a Participant is not required to register as a foreign corporation in Australia under Division 2 of Part 5B.2 of the Corporations Act and they are not carrying on business in Australia they are permitted to request a waiver of ASX 24 Operating Rule 1000(b) under ASX 24 policy and ASX Clear (Futures) Operating Rule 4.4(f) under ASX Clear (Futures) policy.</p> <p>Present Application Based solely on the information provided and similar waivers requested and approved, ASX Limited grants GHF a waiver from ASX Clear (Futures) Operating Rule 4.4(f) subject to the following ongoing conditions. With regards to ASX Clear (Futures) Operating Rule 4.4(f) GHF:</p> <ol style="list-style-type: none"> 1. is a foreign company; 2. continues to be registered as a body corporate incorporated in the UK; 3. does not have a place of business nor any physical presence in Australia; 4. conducts no physical activity or trading in Australia; 5. does not establish or use a share transfer office in Australia; 6. does not administer, manage, or deal with property situated in Australia, as an agent, legal personal representative or trustee; 7. does not issue debentures in Australia and/or as a guarantor for a company that does; 8. does not engage in conduct that is intended to induce persons in Australia to utilise the financial services the Company provides; 9. does not, nor is it permitted to, carry on business in Australia; <p>and</p> <ol style="list-style-type: none"> 10. has appointed an Australian resident agent who is authorised to accept service of process and notices on its behalf.