



ASX

AUSTRALIAN SECURITIES EXCHANGE

ACH Clearing Rules Guidance Note No. 7

KEY TOPICS

ACH RULES

1. 1.15
2. 19.8.4

Cross-reference

1. s821A Corps Act
2. ACH Guidance Note No. 12 – Monitoring Compliance - Investigations
3. ACH Guidance Note No. 02 – Disciplinary Proceedings

Guidance Note

History

Amended:

31 March 2008

01 November 2006

Introduced:

11 March 2004

Introduction of New Rule Book

RECORD OF ACTION AND ANNOUNCEMENT MANAGEMENT LETTER – ISSUE AND PUBLICATION

Please refer to the Guidance Note that relates to the Australian Securities Exchange Disciplinary Procedures and Appeals Rulebook.