

## **Appendix 9C Restriction notice**

To: [Insert name of holder] (“You”)

From: [Insert name of entity] (“Entity”)

Subject: Your securities in the Entity described in item 1 of the schedule below

In accordance with the ASX Listing Rules and the Entity’s constitution, you are hereby given notice that the securities you hold in the Entity described in item 1 of the schedule below have been classified as “restricted securities”.

This means that for the period (“escrow period”) specified in item 2 of the schedule below:

- A. You must not \*dispose of, or agree or offer to \*dispose of, the restricted securities except as permitted by the listing rules or by ASX in writing.
- B. The securities will be kept on the Entity’s \*issuer sponsored subregister and will have a \*holding lock applied to them.
- C. You will not be entitled to participate in any return of capital on the restricted securities during the escrow period except as permitted by the listing rules or ASX.
- D. If you breach the restrictions above you will not be entitled to any dividend or distribution, or to exercise any voting rights, in respect of the \*restricted securities for so long as the breach continues.

These restrictions apply to the securities you hold in the Entity described in item 1 of the schedule below and to any other \*securities attaching to or arising out of those securities that are “restricted securities” under the listing rules.

Words and expressions defined in the listing rules of ASX, and not in this notice, have the meanings given to them in the listing rules.

### **Schedule**

- 1. Particulars of restricted securities:
- 2. Escrow period:

Dated:

[Signed on behalf of the Entity]

Introduced 01/12/19