



Register of ASX Operating Warrant and AQUA Rule Waivers

16 to 30 September 2010

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Operating Warrant and AQUA rules. Waivers are published bi-monthly and include information such as:

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

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Rule Number	2.10 Non-Client
Date	18/06/2010
Participant	Participants (All)
Waiver Number	WPA100043-001
Decision	Based solely on the information provided and Pursuant to ASX Market Rule 1.5.1 ASX grants a waiver (subject to any issues raised by ASIC) to the definition of Non-Client in ASX Market Rule 2.10 which comes into effect on 28 June 2010, to remove the reference to "Principal (within the meaning of Rule 7.3.5)" other than where that Principal dealing is on behalf of the Trading Participant or on behalf of any Related Body Corporate of the Trading Participant and neither the Trading Participant nor the related Body Corporate of the Trading Participant is acting on behalf of a client.
Basis For Decision	<p>Underlying Policy ASX's intent in drafting the VolumeMatch Rules was to capture the Related Body Corporate aspect of Principal dealing in its definition of Non-Client.</p> <p>Present Application The present application is consistent with the underlying policy.</p>



Rule Number	3.4.1(b)
Date	29/07/2010
Participant	All Options Asia Limited
Waiver Number	WPA100058-002
Decision	<p>ASX Market Rule 3.4.1(b) states that an applicant that is a body corporate must be registered as a foreign company under the Corporations Act and not applying in its capacity as a trustee of any trust.</p> <p>ASX will consider waiving the requirements for a body corporate to be registered as a foreign company where that foreign company is not carrying on business in Australia.</p>
Basis For Decision	<p>Underlying Policy To be approved as a Market Participant, the body corporate must be incorporated as a company under the Corporations Act. ASX will consider waiving the requirements for a body corporate to be registered as a foreign company where that company is not carrying on business in Australia.</p> <p>Present Application All Options has confirmed to ASX that it will not be carrying on business in Australia.</p>