

## APPENDIX 1      SCHEDULED TIMES

Rule Number	Requirement	Sydney Time
Rule 2.13.1	Fund Request Cut-Off  Introduced 21/03/14	As set out in the Procedures.
Rule 5.3.2(c), (d) & (e)	ASX Settlement to process a Valid Holding Adjustment Message to establish a CHESS Holding and notify the Issuer and Controlling Participant.	within 4 hours of receiving a Valid Message from an Issuer under Rule 5.3.1.
Rule 5.3.3(c) & (d)	ASX Settlement to reject a Holding Adjustment Message to establish a CHESS Holding if there is no record on the CHESS Subregister of the HIN specified in the Message and to notify the Issuer of the rejection.	within 4 hours of receiving a Holding Adjustment Message from an Issuer under Rule 5.3.1.
Rule 5.3.4	Issuer to Transmit a Message to ASX Settlement to deduct Financial Products from a CHESS Holding if the Holder Record details notified by ASX Settlement do not match the Registration Details specified on the application form for the Holder.	within 4 hours of receiving notification from ASX Settlement under Rule 5.3.3 or by such later time as may be agreed with ASX Settlement, but in any event not later than 24 hours after the time that the Issuer Transmitted the Originating Holding Adjustment Message.
Rule 5.3.5(a) & (b)	ASX Settlement to process a Holding Adjustment initiated by an Issuer under Rule 5.3.4 and notify the Issuer and the Controlling Participant.	within 4 hours of receiving a Holding Adjustment Message from an Issuer under Rule 5.3.4.
Rule 5.12.4	Issuer whose Financial Products are included in Schedule 1 to initiate Holding Adjustment to move Excess Financial Products from the CHESS Subregister to an Issuer Operated Subregister.	by Start of Day following the Business Day on which the Foreign Ownership Percentage Level was exceeded.
Rule 5.18.3	Issuers whose Financial Products are included in Schedule 1 to initiate Holding Adjustment to move Excess Financial Products from the CHESS Subregister to an Issuer Operated Subregister	by Start of Day following the Business Day on which the Foreign Ownership Percentage Level was exceeded.
Rule 6.8A.2	Time for disclosure to ASX Settlement  Introduced 02/11/09	By 4.00pm on the Business Day after the request by ASX Settlement is made, or such other time as directed in writing by ASX Settlement.
Rule 6.10	Deadline for Delivery by a Participant to a Participant without consent	on any Business Day, 4.00pm in the State where the Participant that controls the Target Holding is located.
Rule 6.18.1(c)	Delivering Participant to notify receiving Participant that it can deliver Financial Products	by 2pm or within 30 minutes of ASX Settlement notifying the Participant that Scheduled Settlement has been completed, whichever is the later.
Rule 6.18.2(b)	Delivering Participant to transmit Message to cancel Batch Instruction  Amended 01/12/09	within 30 minutes of the delivering Participant giving Notice to the receiving Participant under Rule 6.18.1(c) or, if the Notice is given before Start of Day, within 30 minutes of Start of Day.

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 6.18.2(c)	Delivering Participant to transmit Dual Entry Demand Message Amended 01/12/09	within 30 minutes of the delivering Participant giving Notice to the receiving Participant under Rule 6.18.1(c) or, if the Notice is given before Start of Day, within 30 minutes of Start of Day.
Rule 6.18.2(d)	Receiving Participant to request cancellation of Batch Instruction Amended 01/12/09	within 60 minutes of the delivering Participant giving Notice to the receiving Participant under Rule 6.18.1(c) or, if the Notice is given before Start of Day, within 60 minutes of Start of Day.
Rule 6.18.2(e)	Receiving Participant to transmit Dual Entry Demand Message Amended 01/12/09	within 60 minutes of the delivering Participant giving Notice to the receiving Participant under Rule 6.18.1(c) or, if the Notice is given before Start of Day, within 60 minutes of Start of Day.
Rule 6.20.1	Participant to request ASX Settlement to remove Batch Instruction from Batch Settlement	by 9 am on the Business Day on which the Batch Instruction falls due for Batch Settlement.
Rule 6.20.2	ASX Settlement to remove Instruction from Batch Settlement or Real Time Gross Settlement	by Settlement Cut-Off on the Settlement Day on which the Instruction falls due for Batch Settlement or Real Time Gross Settlement.
Rule 7.2.2	Giving effect to the instructions of a Participant Sponsored Holder	2 Business Days from date of giving of the instruction.
Rule 8.15.11	Participation to give documentation... Deleted 09/05/05	Deleted 09/05/05
Rule 8.15.12(c)(i)	ASX Settlement to apply the Holder Record Lock	within 2 Business Days of receiving the documentation required under Rule 8.15.11.
Rule 8.15.12(c)(ii) and (iii)	ASX Settlement to notify of application of Holder Record Lock (i) to the Controlling Participant (ii) to the Issuer	within 2 Business Days of receiving the documentation required under Rule 8.15.11.  within 2 Business Days of receiving the documentation required under Rule 8.15.11.
Rule 8.16.3(b)	Participant to give documentation supporting request for the removal of a Holder Record Lock under Rule 8.16.3 to ASX Settlement.	within 2 Business Days of Transmitting the Message under Rule 8.16.3.
Rule 8.16.3(c)	ASX Settlement to remove the Holder Record Lock	within 2 Business Days of receiving the documentation required under Rule 8.16.3.
Rule 8.17	Participant assuming control of a Participant Sponsored Holding to give Notice to ASX Settlement	within 2 Business Days of being notified by the Participant Sponsored Holder.
Rule 8.17	Participant relinquishing control of a Participant Sponsored Holding to give Notice to ASX Settlement	within 2 Business Days of being notified by the Participant Sponsored Holder.

Rule Number	Requirement	Sydney Time
Rule 8.18.3	ASX Settlement to process an amendment to a Holder Record	within 2 Business Days of receiving the documentation required under the Procedures relating to Rule 8.18.3.
Rule 8.18.7	ASX Settlement to process a request to: <ul style="list-style-type: none"> <li>(a) process a change to a Holder Type; or</li> <li>(b) remove a Holder Record; and</li> <li>(c) give Notice of: <ul style="list-style-type: none"> <li>(i) the change of Holder Type; or</li> <li>(ii) the removal of the Holder Record to the Controlling Participant</li> </ul> </li> </ul>	within 2 Business Days of receiving the request under Rule 8.18.6.
Rule 8.18.8	ASX Settlement to reject a request to: <ul style="list-style-type: none"> <li>(a) process a change to a Holder Type; or</li> <li>(b) remove a Holder Record; and</li> <li>(c) give Notice of the rejection to the Controlling Participant</li> </ul>	within 2 Business Days of receiving the request under Rule 8.18.6.
Rule 8.18.10	ASX Settlement to change Residency Indicator recorded in a Holder Record in accordance with specified details in Valid Originating Message and give requisite notification to Controlling Participant, each relevant FOR Issuer and, where relevant, the Participant Sponsored Holder	within 2 Business Days of receiving the request under Rule 8.18.9.
Rule 8.19.3	ASX Settlement to notify an Issuer and Participant of TFN, ABN or Exemption Code	within 4 hours of receiving notification from a Participant under Rule 8.19.
Rule 8.19.8	ASX Settlement to notify the Issuer and the Controlling Participant of the addition or cancellation of Australian ADI Account details  Introduced 09/05/05 Amended 02/11/09	within 4 hours of receiving notification from a Participant under Rule 8.19.7.
8.19.9	Issuer to notify ASX Settlement that it accepts or rejects the Australian ADI Account details  Introduced 09/05/05 Amended 02/11/09	by End of Day on the Business Day following the Business Day on which the notification under Rule 8.19.8 was received from ASX Settlement.
8.19.10	Issuer to record Australian ADI details  Introduced 09/05/05 Amended 02/11/09	before the End of Day on the day the Issuer notifies ASX Settlement of acceptance under Rule 8.19.9(a).
8.19.11	Issuer to remove Australian ADI details  Introduced 09/05/05 Amended 18/12/06, 02/11/09	before the End of Day on the day on which the notification under Rule 8.19.8 was received from ASX Settlement.
Rule 9.5.8	Dual Entry Demand Message to be Matched.	<ul style="list-style-type: none"> <li>• by End of Day on the Business Day after the Message is Transmitted to ASX Settlement by the Participant, unless the Message is Transmitted to ASX Settlement on a Record Date;</li> <li>• if the Message is Transmitted to ASX Settlement by the Participant on a Record Date, by End of Day on the Business Day on which the Message is Transmitted to ASX Settlement by the Participant.</li> </ul>

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 9.5.8(b)	ASX Settlement to notify that Dual Entry Demand Message cancelled.	within 60 minutes of ASX Settlement cancelling the Dual Entry Demand Message.
Rule 9.6.3	Participant to initiate Conversion when Holding in Convertible Form	2 Business Days from the time when the Holding was in Convertible Form.
Rule 9.6.4	Participant to action Conversion request when Holding not in Convertible Form	5 Business Days from the receipt of the request.
Rule 9.7.3	Issuer to process a Valid CHESS to Issuer Sponsored Demand Transfer or Conversion.	within 12 Business Hours of ASX Settlement queuing the Demand Transfer or Conversion for collection by the Issuer.
Rule 9.8.5	Issuer to notify ASX Settlement that a Demand Transfer or Conversion authorisation request has been rejected.	<ul style="list-style-type: none"> <li>(i) In the case of a Demand Transfer or Conversion initiated by a Participant, within 12 Business Hours of ASX Settlement queuing the Demand Transfer or Conversion authorisation request for collection by the Issuer; or</li> <li>(ii) in the case of a Demand Transfer or Conversion initiated by a Participant: <ul style="list-style-type: none"> <li>- within 12 Business Hours of: <ul style="list-style-type: none"> <li>• ASX Settlement queuing the Demand Transfer or Conversion authorisation request for collection by the Issuer; or</li> <li>• the Issuer receiving the documentation specified in Rule 9.8.4, whichever is the later;</li> </ul> </li> <li>or</li> <li>- in the event that the Issuer fails to receive the documentation specified in Rule 9.8.4 within 5 Business Days of ASX Settlement queuing the Demand Transfer or Conversion authorisation request for collection by the Issuer, by End of Day on the following Business Day.</li> </ul> </li> </ul>
Rule 9.8.6	Issuer to notify ASX Settlement that a Demand Transfer or Conversion authorisation request has been authorised.	<ul style="list-style-type: none"> <li>(i) In the case of a Demand Transfer or Conversion initiated by a Participant, within 12 Business Hours of ASX Settlement queuing the Demand Transfer or Conversion authorisation request for collection by the Issuer; or</li> </ul>

Rule Number	Requirement	Sydney Time
		<ul style="list-style-type: none"> <li>(ii) in the case of a Demand Transfer or Conversion initiated by a Participant: <ul style="list-style-type: none"> <li>- within 12 Business Hours of: <ul style="list-style-type: none"> <li>• ASX Settlement queuing the Demand Transfer or Conversion authorisation request for collection by the Issuer; or</li> <li>• the Issuer receiving the documentation specified in Rule 9.8.4, whichever is the later.</li> </ul> </li> <li>- in the event that the Issuer fails to receive the documentation specified in Rule 9.8.4 within 5 Business Days of SCH queuing the Demand Transfer or Conversion authorisation request for collection by the Issuer, by End of Day on the following Business Day.</li> </ul> </li> </ul>
Rule 10.4.4	ASX Settlement to notify that Participant Direct Batch Instruction is scheduled for settlement.  Amended 27/06/11	by End of Day on the Business Day that ASX Settlement is notified of a Direct Batch Instruction by the relevant Approved Market Operator.
Rule 10.5.3	Participants to Match removal request for Direct Batch Instruction from Scheduled Settlement.  Amended 27/06/11	before Settlement Cut-Off on the Settlement Date notified to ASX Settlement by the relevant Approved Market Operator.
Rule 10.6.1	Participants to Match request to switch to RTGS.	before End of Day on the second Business Day before the Settlement Date.
Rule 10.7.4(b)	Participant to nominate new Payment Facility.	within 5 Business Days of ASX Settlement giving Notice to the Participant or such longer period as ASX Settlement determines.
Rule 10.9.1	Participants to request change to settlement obligations.	before End of Day on the Business Day before the Settlement Date notified to ASX Settlement by an Approved Market Operator.
Rule 10.9.1(a)(ii)	ASX Settlement to notify that change effected.	within 60 minutes of ASX Settlement effecting the change.

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 10.9.2	Participant to transmit Dual Entry Batch Message.	<ul style="list-style-type: none"> <li>• if the instruction involves a Settlement Amount – before Trade Instruction Cut-Off on the Settlement Date specified by the Participants;</li> <li>• otherwise – before Settlement Cut-Off on the Settlement Date specified by the Participants.</li> </ul>
Rule 10.9.3(c)	Dual Entry Batch Messages or Dual Entry Payment Batch Messages to be matched.	<ul style="list-style-type: none"> <li>• if the instruction involves a Settlement Amount – by Trade Instruction Cut-Off on the Settlement Date specified by the Participants;</li> <li>• otherwise – by Settlement Cut-Off on the Settlement Date specified by the Participants.</li> </ul>
Rule 10.9.3(d)	Dual Entry Batch Messages or Dual Entry Payment Batch Messages unmatched.	<ul style="list-style-type: none"> <li>• if the instruction involves a Settlement Amount – by Trade Instruction Cut-Off on the Settlement Date specified by the Participants;</li> <li>• otherwise – by Settlement Cut-Off on the Settlement Date specified by the Participants.</li> </ul>
Rule 10.9.3(d)(ii)	ASX Settlement to notify Participant that message cancelled.	within 60 minutes of ASX Settlement cancelling the Dual Entry Batch Message or Dual Entry Payment Batch Message.
Rule 10.9.4	Messages to request change to Dual Entry Batch Instruction to Match.	<ul style="list-style-type: none"> <li>• if the instruction involves a Settlement Amount – before Trade Instruction Cut-Off on the Settlement Date specified by the Participants;</li> <li>• otherwise – before Settlement Cut-Off on the Settlement Date specified by the Participants.</li> </ul>
Rule 10.9.4(b)	Participant to transmit Message to cancel original request.	at any time before the Messages are Matched by ASX Settlement under Rule 10.9.7(a).
Rule 10.9.5	Participant to transmit Message to ASX Settlement to request change to Dual Entry Batch Instruction.	<ul style="list-style-type: none"> <li>• if the instruction involves a Settlement Amount – before Trade Instruction Cut-Off on the Settlement Date specified by the Participants;</li> <li>• otherwise – before Settlement Cut-Off on the Settlement Date specified by the Participants.</li> </ul>
Rule 10.9.5(e)	ASX Settlement to notify Participant that Dual Entry Batch Instruction changed.	within 60 minutes of ASX Settlement effecting the change to the Settlement Instruction.

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 10.9.6	Participant to transmit message requesting cancellation of Message.	<ul style="list-style-type: none"> <li>if the instruction involves a Settlement Amount – before Trade Instruction Cut-Off on the Settlement Date specified by the Participants;</li> <li>otherwise – before Settlement Cut-Off on the Settlement Date specified by the Participants.</li> </ul>
Rule 10.9.7	Messages to cancel Dual Entry Batch Instruction or a Dual Entry Payment Batch Instruction are Matched.	<ul style="list-style-type: none"> <li>if the instruction involves a Settlement Amount – by Trade Instruction Cut-Off on the Settlement Date specified by the Participants;</li> <li>otherwise – by Settlement Cut-Off on the Settlement Date specified by the Participants.</li> </ul>
Rule 10.9.7(b)	Participant to transmit Message to cancel original request.	at any time before the Messages are Matched by ASX Settlement under Rule 10.9.7(a).
Rule 10.9.11	Participant to transmit Single Entry Batch Message.	before Settlement Cut-Off on the Settlement Date specified by the Participant.
Rule 10.9.12	Participant to cancel Single Entry Batch Message	<ul style="list-style-type: none"> <li>if the instruction involves a Settlement Amount – before Trade Instruction cut-off on the Settlement Date specified by the Participants;</li> <li>otherwise – before Settlement Cut-Off on the Settlement Date specified by the Participants.</li> </ul>
Rule 10.10.2	ASX Settlement to notify Participant of projected net obligations for payment and Financial Products.	<ul style="list-style-type: none"> <li>if the instruction involves a Settlement Amount – immediately after Trade Instruction Cut-Off on the Settlement Date specified by the Participants;</li> <li>otherwise – immediately after Settlement Cut-Off on the Settlement Date specified by the Participants.</li> </ul>
Rule 10.10.4(a)	ASX Settlement to notify Participant of payment obligation or entitlement.	concurrent with or prior to ASX Settlement notifying the Participant's Payments Provider in relation to the Participant's Payment Facility pursuant to clause 3.1(a) of the Standard Client Bank Deed.

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 10.10.4(b)	ASX Settlement to notify Participant of revised payment obligation or entitlement.	concurrent with or prior to ASX Settlement notifying the Participant's Payments Provider in relation to the Participant's Payment Facility pursuant to clause 3.3(a) of the Standard Client Bank Deed.
Rule 10.10A.2	ASX Settlement to notify Participant of net Client Trust Account payment obligation or entitlement.  Introduced 25/05/15	concurrent with or prior to ASX Settlement notifying the Participant's Payments Provider in relation to the Participant's PID.
Rule 10.11.2(a)	If Source Holding would give rise to a Financial Products Shortfall.	by Settlement Cut-Off on the Business Day on which the Batch Instructions fall due for Batch Settlement.
Rule 10.11.2(b)	If Participant's net obligation to make payment is not authorised.	by Authorisation Response Cut-Off as defined in the Standard Client Bank Deed.
Rule 10.11.3(d)	ASX Settlement may request Payments Provider to authorise increased net obligation to make payment.	by Revised Authorisation Response Cut-Off as defined in the Standard Client Bank Deed.
Rule 10.11.8	Participants to Match request to switch Failed Batch Settlement Instruction to RTGS.	by Settlement Cut-off* on the Business Day to which the Batch Instruction has been rescheduled.
Rule 10.11.12	Failed Settlement Shortfall exists.  Introduced 30/03/09	by Settlement Cut-Off on the second Business Day after the day on which the Rescheduled Batch Instruction was originally scheduled for settlement.
Rule 10.12.1	ASX Settlement to be satisfied that Source Holding will not give rise to a Financial Products Shortfall.	before Inter-Bank Payment System Closure as defined in the Standard Client Bank Deed.
Rule 10.13.3	ASX Settlement to notify Participant if Batch Instruction has settled.	within 30 minutes of ASX Settlement transferring Financial Products under Rule 10.12.1(e).
Rule 10.14.2	ASX Settlement to notify cancellation of Batch Settlement.	within 30 minutes of ASX Settlement cancelling settlement under Rule 10.14.1.
Rule 10.15.1(a)	Payments Provider to authorise net obligation to make payment.	before Authorisation Response Cut-Off as defined in the Standard Client Bank Deed.
Rule 10.15.1(b)	Payments Provider to authorise increased net obligation to make payment.	before Revised Authorisation Response Cut-Off as defined in the Standard Client Bank Deed.
Rule 10.15.1(b)(iv)	ASX Settlement to give notice of suspension to all Participants.	by 9 am on the following Business Day.

\* Note: as normal net batch processing has priority, whether an instruction to switch settlement modes received shortly before Settlement Cut-off can be actioned necessarily depends on processing volumes and other applicable system constraints: refer Rule 10.11.8.



<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 10.17.1	ASX Settlement to generate Accrued Batch Instruction.	by Start of Day on the Business Day immediately after the Record Date for the Corporate Action.
Rule 10.18.1	ASX Settlement to reduce Settlement Amount of Batch Instruction.	by Start of Day on the Business Day immediately after the Record Date for the Corporate Action.
Rule 10.18.2	Participant to transfer imputation credit.	within 5 Business Days of the dividend payment date.
Rule 10.19	ASX Settlement to increase Settlement Amount of Batch Instruction.	by Start of Day on the Business Day immediately after the Business Day referred in Rule 10.19.
Rule 10.20	Participant to notify that renounceable rights not to be taken up.	before 4pm on the second Business Day before the Business Day on which ASX Settlement ceases to process Transfers.
Rule 10.20(a) and (b)	Receiving Participant to request cancellation of Parent Batch Instruction and pay amounts equal to the Settlement Amount.	before 5pm on the second Business Day before the Business Day on which ASX Settlement ceases to process Transfers.
Rule 10.20	Delivering Participant to request cancellation of Batch Instruction.	before Trade Instruction Cut-Off on the Business Day before the Business Day on which ASX Settlement ceases to process Transfers.
Rule 10.21.1	Participant to request change to Batch Instruction.	before Settlement Cut-Off on the Business Day that is 10 Business Days after the CHES Subregister closes.
Rule 10.21.3	Receiving Participant to pay delivering Participant Settlement Amount.	by 3pm on the Business Day following the day on which ASX Settlement removes the Parent Batch Instruction.
Rule 11.6.6(b)	Participant to nominate new Payment Facility.	no later than 5 Business Days before the date on which the Payments Provider will cease to participate in Real Time Gross Settlement.
Rule 11.8.9(b)	Participant to nominate new Payment Facility.	within 5 Business Days of ASX Settlement giving Notice to the Participant or such longer period as ASX Settlement determines.
Rule 11.9.3(b)(ii)	ASX Settlement to notify change to Payments Provider Managed attributes of a Net Position Record to Payments Provider and Participant.	in the case of inactivation of Net Position Record:- by Start of Day on next RTGS Business Day after receipt of request to inactivate;  in the case of all other changes:- within 60 minutes of ASX Settlement effecting the change.
Rule 11.9.6(b)	ASX Settlement to remove Recorded RTGS Instruction from Feeder System following inactivation of Net Position Record.	by Start of Day on next RTGS Business Day after receipt of request to inactivate.

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 11.9.12(b)	ASX Settlement to notify change to Participant Managed attributes of a Net Position Record to Payments Provider and Participant.	within 60 minutes of ASX Settlement effecting the change.
Rule 11.11.2(b)	ASX Settlement to advise Participants that it has Recorded RTGS Instruction switched from Scheduled Settlement.	within 60 minutes of ASX Settlement Recording the RTGS Instruction.
Rule 11.12.1(a)(i)	Participant to Transmit Valid Switch to Batch Settlement Message to ASX Settlement.	before the commencement of the RTGS Settling Phase for that RTGS Instruction; and  by Settlement Cut-off* on the Business Day on which settlement is required.
Rule 11.12.1(c)	ASX Settlement to advise removal of RTGS Instruction from RTGS.	within 60 minutes of ASX Settlement effecting the removal.
Rule 11.12.3(a)(i)	Participant to Transmit Dual Entry Switch to Batch Settlement Message to ASX Settlement	before the commencement of the RTGS Settling Phase for that RTGS Instruction; and  by Settlement Cut-off* on the Business Day on which settlement is required.
Rule 11.12.5	Batch Instruction to be constituted for settlement to occur on recorded RTGS Settlement Date.	before commencement of the RTGS Settling Phase for that RTGS Instruction; and by Settlement Cut-off* on the Business Day Recorded as the RTGS Settlement Date.
Rule 11.12.6	Participant to Transmit to ASX Settlement request for HIN change.	before commencement of the RTGS Settling Phase for that RTGS Instruction, and by Settlement Cut-off* on the Business Day on which settlement is required.
Rule 11.12.6(b)	ASX Settlement to advise Participant of HIN change.	within 60 minutes of ASX Settlement effecting the change.
Rule 11.13.1	Participant to Transmit RTGS Messages to ASX Settlement.	before RTGS Instruction Cut-off on the RTGS Settlement Date.
Rule 11.13.3	Dual Entry RTGS Message to be Transmitted to ASX Settlement.	before RTGS Instruction Cut-off on the RTGS Settlement Date.
Rule 11.13.4	RTGS Messages to be Matched.	before RTGS Cut-off on the RTGS Settlement Date.
Rule 11.13.4(c)(ii)	ASX Settlement to notify Recording of RTGS Instruction.	within 60 minutes of ASX Settlement Recording the RTGS Instruction.

\* Note: as normal net batch processing has priority, whether an instruction to switch received shortly before Settlement Cut-off can be actioned necessarily depends on processing volumes and other applicable system constraints: refer Rule 11.12.7.

\* Note: as normal net batch processing has priority, whether an instruction to switch received shortly before Settlement Cut-off can be actioned necessarily depends on processing volumes and other applicable system constraints: refer Rule 11.12.7.

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 11.16.1(a)(i)	Participant to request ASX Settlement to change RTGS Instruction.	before commencement of the RTGS Settling Phase for that RTGS Instruction or RTGS Instruction Cut-off on the RTGS Settlement Date, whichever is earlier.
Rule 11.16.1(b)	ASX Settlement to advise Participant of changed RTGS Instruction.	within 60 minutes of ASX Settlement effecting the change.
Rule 11.16.3(a)	Participants to Transmit Dual Entry Messages requesting changes to Dual Entry RTGS Instruction to ASX Settlement.	before commencement of RTGS Settling Phase for that RTGS Instruction or RTGS Instruction Cut-off on the RTGS Settlement Date, whichever is earlier.
Rule 11.16.4	Participant to Transmit single entry change to ASX Settlement	before commencement of RTGS Settling Phase for that RTGS Instruction or RTGS Instruction Cut-off on the RTGS Settlement Date, whichever is earlier.
Rule 11.16.4(d)	ASX Settlement to advise Participant of change	within 60 minutes of ASX Settlement effecting the change.
Rule 11.17.4	Participant to request ASX Settlement to cancel RTGS Instruction	before RTGS Instruction Cut-off on the RTGS Settlement Date or before completion of the RTGS Settling Phase for that RTGS Instruction, whichever is earlier.
Rule 11.17.4(b)	ASX Settlement to advise Participant of cancellation	within 60 minutes of ASX Settlement effecting cancellation and, if applicable, after confirmation from RITS/RTGS of cancellation/inactivation in RITS/RTGS.
Rule 11.17.5(a)	Participants to Match cancellation request for Dual Entry RTGS Instruction	before RTGS Instruction Cut-off on the RTGS Settlement Date or before completion of the RTGS Settling Phase for that RTGS Instruction, whichever is earlier.
Rule 11.18.4	Participant to notify ASX Settlement that RTGS Instruction is 'ready to settle'	before RTGS Instruction Cut-off on the RTGS Settlement Date.
Rule 11.19.1	ASX Settlement to determine Financial Products will not give rise to RTGS Delivery Shortfall	before RTGS Cut-off on the RTGS Settlement Date.
Rule 11.19.1(e)	ASX Settlement to notify counterparties of Reservation of Financial Products	within 60 minutes of ASX Settlement effecting the Reservation.
Rule 11.20.4	ASX Settlement to determine Debit Cap Compliance	before RTGS Cut-off on the RTGS Settlement Date.
Rule 11.23.1(b)	ASX Settlement to determine Debit Cap Compliance	before RTGS Cut-off on the RTGS Settlement Date.
Rule 11.23.2(b)(ii)	ASX Settlement to have received settlement response from RITS/RTGS	before RTGS End of Day on the RTGS Settlement Date.
Rule 11.24.1	RTGS Instruction to have entered RTGS Settling Phase	before RTGS Cut-off on the RTGS Settlement Date.
Rule 11.24.2(b)(ii)	ASX Settlement to have received settlement response from RITS/RTGS	before RTGS End of Day on the RTGS Settlement Date.

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 11.25.1	ASX Settlement to be satisfied that RTGS Settling Phase can complete on the RTGS Settlement Date	before RTGS End of Day on the RTGS Settlement Date.
Rule 11.28.1(c)	ASX Settlement to notify counterparties of Failed RTGS Instruction	as soon as practicable after RTGS End of Day.
Rule 11.28.2(a)	Participant to request ASX Settlement to switch Failed RTGS Instruction to Batch Settlement	before Settlement Cut-off* on the next RTGS Business Day.
Rule 11.30.1	ASX Settlement to make available RTGS Settlement Report to RTGS Payments Provider	as soon as practicable after RTGS End of Day and completion of End of Day Processing Phase.
Rule 11.30.2	ASX Settlement settlement reporting to RTGS Participants	as soon as practicable after RTGS End of Day and completion of End of Day Processing Phase.
Rule 11.31.1	ASX Settlement to generate Accrued RTGS Instruction	by Start of Day on the Business Day immediately after the Record Date for the Corporate Action.
Rule 13.9.4	Issuer to generate a Trustee Transfer Form in accordance with the Procedures and register that transfer in the Principal Register.	within 12 Business Hours of ASX Settlement Transmitting a Message under Rule 13.9.3(b).
Rule 13.9.7	Participant to lodge a properly completed document of Transfer and Certificate or Marked Transfer with the Principal Issuer	within 5 Business Days of the Participant lodging the document of Transfer and Certificate or Market Transfer with the Principal Issuer.
Rule 13.9.9	Issuer to give effect to Valid Certificated to CHES Transfer initiated by Participant under Rule 13.9.7 in accordance with Rule 13.9.9.	within 12 Business Hours of <ul style="list-style-type: none"> <li>• ASX Settlement queuing the Transfer for collection by the Issuer; or</li> <li>• the Issuer receiving the documentation specified in Rule 13.9.9(b);</li> </ul> whichever is the later.
Rule 13.9.11	Principal Issuer to reject Message under Rule 13.9.8 and/or return document of Transfer and Certificate or Marked Transfer  Introduced 09/05/05	within 12 Business Hours of <ul style="list-style-type: none"> <li>• ASX Settlement queuing the Transfer for collection by the Principal Issuer; or</li> <li>• the Principal Issuer receiving documentation specified in Rule 13.9.9(a),</li> </ul> whichever is the later.
Rule 14.6.3	Approved Clearing Facility to notify ASX Settlement of a rejection of the reservation of Financial Products	within 1 Business Day of receiving notification from ASX Settlement under Rule 14.6.1.
Rule 14.8.3	Approved Clearing Facility to authorise or reject a request to release of the Financial Products from the Subposition	within 1 Business Day of receiving the Message seeking authorisation for the release from ASX Settlement under Rule 14.8.2.

\* Note: as normal net batch processing has priority, whether an instruction to switch received shortly before Settlement Cut-off can be actioned necessarily depends on processing volumes and other applicable system constraints: refer Rule 11.12.7.

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 14.14.1	Participant to initiate acceptance under a takeover scheme.	(i) by End of Day on the date the Participant Sponsored Holder instructs the Participant to accept the offer; or (ii) if the offer period ends on the date that the Participant Sponsored Holder instructs the Participant, before the end of the offer period.
Rule 14.14.5	Participant Bidder to notify ASX Settlement of a rejection of a Offer Accepted Subposition	within 2 Business Days of receiving notification from ASX Settlement under Rule 14.5.1.
Rule 14.14.9	Controlling Participant to notify ASX Settlement of acceptance or rejection of a Takeover Offer Acceptance  Introduced 09/05/05 Amended 30/09/19	by End of Day on the Business Day following the Business Day on which the Controlling Participant receives a Valid Message under Rule 14.14.8.
Rule 14.14.10	Controlling Participant to notify Holder of rejection of takeover offer acceptance  Introduced 09/05/05	by End of Day on the Business Day on which the Controlling Participant rejects the takeover offer acceptance under Rule 14.14.9(b).
Rule 14.16.3	Participant Bidder to authorise or reject a request for Financial Products to be released from a Offer Accepted Subposition	within 2 Business Days of receiving a Valid Message from ASX Settlement under Rule 14.16.2.
Rule 14.19.1	Participant Bidder to notify ASX Settlement of acceptances that are avoided because of a defeating condition	within 1 Business Day of the Takeover Offer lapsing because of a Defeating Condition.
Rule 16.21	ASX Settlement to process Valid Messages from Facility Users.	within 60 minutes of receiving a Valid Message from a Facility User under this Rule.
Rule 16.24.8	ASX Settlement to notify Participants of net funds movement-	within 30 minutes of ASX Settlement transferring Financial Products under Rule 10.12.1(e).
Rule 18.3.3(a)	If an Originating Message Transmitted to ASX Settlement complies with Rule 18.3.1, ASX Settlement must, within the Scheduled Time, Transmit a Message to the relevant Product Issuer Settlement Participant to notify it of the details of the request specified in the Originating Message.  Introduced 21/03/14	As set out in the Procedures.
Rule 18.3.3(b)	If an Originating Message Transmitted to ASX Settlement complies with Rule 18.3.1, Product Issuer Settlement Participant must, within the Scheduled Time, Transmit a Message to ASX Settlement accepting or rejecting (or, in the case of requests for redemption only, deferring) the request and specifying the information required by the Procedures.  Introduced 21/03/14	As set out in the Procedures.

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 18.3.6(b)	<p>If ASX Settlement receives a Valid Message from a Product Issuer Settlement Participant under Rule 18.3.3(b) accepting a request for issue or redemption of AQUA Products, the Product Issuer Settlement Participant must, within the Scheduled Time (or, in relation to requests for issue only, within any rescheduled date notified in accordance with Rule 18.7), Transmit to ASX Settlement a Message that initiates a Holding Adjustment to increase or decrease, by the number of AQUA Products determined by the Product Issuer Settlement Participant in respect of the request for issue or redemption, as the case requires, the Holding Balance of the CHES Holding specified in the Originating Message.</p> <p>Introduced 21/03/14</p>	As set out in the Procedures.
Rule 18.3.6A(a)	<p>If the Product Issuer Settlement Participant accepts a request for the issue or redemption of AQUA Products in accordance with Rule 18.3.3(b), the Product Issuer Settlement Participant must, within the Scheduled Time, Transmit a Valid Message to ASX Settlement notifying it of the information specified in the Procedures.</p> <p>Introduced 15/08/16</p>	As set out in the Procedures.
Rule 18.3.6A(b)	<p>If the Product Issuer Settlement Participant accepts a request for the issue or redemption of AQUA Products in accordance with Rule 18.3.3(b), ASX Settlement must, within the Scheduled Time, Transmit a Message to the Settlement Participant that initiated the request for the issue or redemption of AQUA Products notifying it of the information received from the Product Issuer Settlement Participant in accordance with Rule 18.3.6A(a).</p> <p>Introduced 15/08/16</p>	As set out in the Procedures.
Rule 18.4.3(a)	<p>If an Originating Message Transmitted to ASX Settlement complies with Rule 18.4.1, ASX Settlement must, within the Scheduled Time, Transmit a Message to the relevant Product Issuer Settlement Participant to notify it of the details of the request specified in the Originating Message.</p> <p>Introduced 21/03/14</p>	As set out in the Procedures.
Rule 18.4.3(b)	<p>If an Originating Message Transmitted to ASX Settlement complies with Rule 18.4.1, the Product Issuer Settlement Participant must, within the Scheduled Time, Transmit a Message to ASX Settlement accepting, rejecting or deferring the request and specifying the information required by the Procedures.</p> <p>Introduced 21/03/14</p>	As set out in the Procedures.
Rule 18.4.4(b)	<p>If ASX Settlement receives a Valid Message from a Product Issuer Settlement Participant under Rule 18.4.3(b), and if the request has been accepted, the Product Issuer Settlement Participant must, within the Scheduled Time, Transmit to ASX Settlement Messages that initiate Holding Adjustments to increase or decrease, by the number of AQUA Products determined by the Product Issuer Settlement Participant in respect of the request to Switch between AQUA Products, as the case requires, the Holding Balance of each CHES Holding specified in the Originating Message.</p> <p>Introduced 21/03/14</p>	As set out in the Procedures.
Rule 18.5.1	<p>Product Issuer Settlement Participant may Transmit a Valid Message that requests cancellation of a request for issue or redemption of AQUA Products that the Product Issuer Settlement Participant accepted in accordance with Rule 18.3.3(b).</p> <p>Introduced 21/03/14</p>	As set out in the Procedures.

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 18.5.2	<p>A Product Issuer Settlement Participant may Transmit a Valid Message that requests cancellation of a request to Switch between AQUA Products that the Product Issuer Settlement Participant accepted in accordance with Rule 18.4.3(b).</p> <p>Introduced 21/03/14</p>	As set out in the Procedures.
Rule 18.6.1	<p>A Settlement Participant may Transmit a Valid Message that requests cancellation of:</p> <p>(a) a request for redemption of AQUA Products; or</p> <p>(b) a request to Switch between AQUA Products, that the Settlement Participant initiated and the Product Issuer Settlement Participant deferred in accordance with Rule 18.3.3(b).</p> <p>Introduced 21/03/14</p>	As set out in the Procedures.
Rule 18.6.1(c)	<p>If within the Scheduled Time a Settlement Participant Transmits a Valid Message that requests cancellation of:</p> <p>(a) a request for redemption of AQUA Products; or</p> <p>(b) a request to Switch between AQUA Products, that the Settlement Participant initiated and the Product Issuer Settlement Participant deferred in accordance with Rule 18.3.3(b):</p> <p>(c) ASX Settlement must, within the Scheduled Time, Transmit a Message to the Product Issuer Settlement Participant to notify it of the cancellation request.</p> <p>Introduced 21/03/14</p>	As set out in the Procedures.
Rule 18.6.1(d)	<p>If within the Scheduled Time a Settlement Participant Transmits a Valid Message that requests cancellation of:</p> <p>(a) a request for redemption of AQUA Products; or</p> <p>(b) a request to Switch between AQUA Products, that the Settlement Participant initiated and the Product Issuer Settlement Participant deferred in accordance with Rule 18.3.3(b):</p> <p>(c) the Product Issuer Settlement Participant must, within the Scheduled Time, Transmit a Message to ASX Settlement accepting or rejecting the cancellation request and specifying the information required by the Procedures.</p> <p>Introduced 21/03/14</p>	As set out in the Procedures.
Rule 18.7.1	<p>An Product Issuer Settlement Participant that has accepted:</p> <p>(a) a request for issue or redemption of AQUA Products in accordance with Rule 18.3.3(b); or</p> <p>(b) a request to Switch AQUA Products in accordance with Rule 18.4.3(b),</p> <p>may notify the Settlement Participant that initiated the request of changes to details of the matters relating to the request, as specified in the Procedures, by Transmitting, within the Scheduled Time, a Valid Message to ASX Settlement in accordance with the Procedures.</p> <p>Introduced 21/03/14</p>	As set out in the Procedures.

<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 18.9.2(a)	<p>If a Valid Originating Message Transmitted to ASX Settlement complies with Rule 18.9.1, ASX Settlement must, within the Scheduled Time, Transmit a Message to the relevant Product Issuer Settlement Participant to notify it of the details of the request specified in the Valid Originating Message.</p> <p>Introduced 15/08/16</p>	As set out in the Procedures.
Rule 18.9.2(b)	<p>If a Valid Originating Message Transmitted to ASX Settlement complies with Rule 18.9.1, the Product Issuer Settlement Participant must, within the Scheduled Time, Transmit a Valid Message to ASX Settlement accepting or rejecting the request and specifying the information required by the Procedures.</p> <p>Introduced 15/08/16</p>	As set out in the Procedures.
Rule 18.9.4	<p>A Product Issuer Settlement Participant that has accepted a request for income advice in accordance with Rule 18.9.2(b) must advise, for each class of AQUA Products in relation to which it is authorised, details of:</p> <ul style="list-style-type: none"> <li>(a) income distribution entitlements in respect of each CHES Holding of the AQUA Products where applicable; and</li> <li>(b) reinvestment of income distribution entitlements in respect of each CHES Holding of the AQUA Products where applicable,</li> </ul> <p>by Transmitting to ASX Settlement, within the Scheduled Time after each date for determining distribution entitlements in respect of the AQUA Products, a Valid Message that contains the details specified in the Procedures.</p> <p>Introduced 15/08/16</p>	As set out in the Procedures.
Rule 18.9.6(a)	<p>If a Settlement Participant Transmits to ASX Settlement a Valid Message in accordance with the Procedures that requests cancellation of a request for income advice that the Settlement Participant initiated and the Product Issuer Settlement Participant accepted in accordance with Rule 18.9.2(b), ASX Settlement must, within the Scheduled Time, Transmit a Message to the Product Issuer Settlement Participant to notify it of the cancellation request.</p> <p>Introduced 15/08/16</p>	As set out in the Procedures.
Rule 18.9.6(b)	<p>If a Settlement Participant Transmits to ASX Settlement a Valid Message in accordance with the Procedures that requests cancellation of a request for income advice that the Settlement Participant initiated and the Product Issuer Settlement Participant accepted in accordance with Rule 18.9.2(b), the Product Issuer Settlement Participant must, within the Scheduled Time, implement the cancellation request.</p> <p>Introduced 15/08/16</p>	As set out in the Procedures.
Rule 18.9A.2(a)	<p>If a Valid Originating Message Transmitted to ASX Settlement complies with Rule 18.9A.1, ASX Settlement must, within the Scheduled Time, Transmit a Message to the relevant Product Issuer Settlement Participant to notify it of the details of the request specified in the Valid Originating Message.</p> <p>Introduced 15/08/16</p>	As set out in the Procedures.
Rule 18.9A.2(b)	<p>If a Valid Originating Message Transmitted to ASX Settlement complies with Rule 18.9A.1, the Product Issuer Settlement Participant must, within the Scheduled Time, Transmit a Valid Message to ASX Settlement accepting or rejecting the request and specifying the information required by the Procedures.</p> <p>Introduced 15/08/16</p>	As set out in the Procedures.



<b>Rule Number</b>	<b>Requirement</b>	<b>Sydney Time</b>
Rule 18.9A.4	<p>A Product Issuer Settlement Participant that has accepted a request to change details of a Holder's standing instructions for the issue or redemption of AQUA Products in CHESSE Holdings in accordance with Rule 18.9A.2(b) must, within the Scheduled Time, implement the changes specified in the Valid Originating Message.</p> <p>Introduced 15/08/16</p>	As set out in the Procedures.

**End of Document**