



Register of ASX Settlement Operating Rule Waivers

16 to 31 March 2020

The purpose of this register is to record when ASX has exercised its discretion and granted a waiver from the ASX Settlement Operating rules. Waivers are published bi-monthly and include information such as :

- Organisation**
- Rule Number**
- Decision Details**
- Basis for Decision**

**For all product enquiries, please contact:
- Customer Service Centre on 131 279**

ASX Limited ABN 98 008 624 691 and its related bodies corporate reserve all rights in the material incorporated in this publication. No part of this publication may be photocopied, reproduced, transcribed into or stored in a retrieval system or any other form of electronic medium, nor may it be transmitted in any form or by any means whether electronic, mechanical or otherwise without the prior written approval of the General Manager, Market Information, ASX Limited. NO RESPONSIBILITY IS ACCEPTED FOR ANY INACCURACIES IN THE MATTER PUBLISHED



Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.4
Date	18/03/2020
ASX Code	URW
Listed Company	UNIBAIL-RODAMCO-WESTFIELD
Waiver Number	WLC200032-001
Decision	<p>1. Based solely on the information provided ASX Limited ("ASX") grants Unibail-Rodamco-Westfield (the "Group") a waiver from ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the dividend period set out below ("Relevant Period"):</p> <p>I. From and including 23 March 2020 up to and including 25 March 2020.</p> <p>2. In relation to the above Relevant Period, if there are any changes to such dividend period, the waiver will apply to the revised period, provided that the Group:</p> <p>I. notifies ASX Settlement ("ASXS") of the change to the dividend period at least two (2) business days prior to the change (the "Notification"); and</p> <p>II. ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>3. The Group issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS transmitting the Message.</p> <p>Present Application The waivers are considered necessary to prevent the potential for a holder to gain inappropriate access to a dividend made by the Group as a result of differences in time zones and ex entitlement periods between two different jurisdictions. Such disparity may create an opportunity for a holder who is not entitled to receive a dividend to inappropriately gain access to that dividend as issuances can't be tagged to clarify whether they are trading with or without the dividend.</p>

ASX Limited ABN 98 008 624 691 and its related bodies corporate reserve all rights in the material incorporated in this publication. No part of this publication may be photocopied, reproduced, transcribed into or stored in a retrieval system or any other form of electronic medium, nor may it be transmitted in any form or by any means whether electronic, mechanical or otherwise without the prior written approval of the General Manager, Market Information, ASX Limited. NO RESPONSIBILITY IS ACCEPTED FOR ANY INACCURACIES IN THE MATTER PUBLISHED



Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.4
Date	26/03/2020
ASX Code	VEU
Listed Company	VANGUARD ALL-WORLD EX-US SHARES INDEX ETF
Waiver Number	WLC200031-001
Decision	<p>1. Based solely on the information provided, ASX Limited ("ASX") grants Vanguard Investments Australia Limited (the "Company") a waiver of ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <p>1.1 The waiver applies only to the funds listed below in respect of the relevant dates set out below (the "relevant dates");</p> <p>I. Vanguard All-World Ex-US Shares Index ETF (ASX: VEU) on 26 June 2020 and 22 September 2020.</p> <p>In relation to the above relevant dates, if there are any changes to such relevant dates, the waiver will apply to those revised dates, provided that the Company:</p> <p>(a) notifies ASX Settlement of the change to the relevant dates at least two (2) business days prior to the change; and</p> <p>(b) ASX Settlement does not object to the change within a period of one (1) business day from the date it receives the notification.</p> <p>2. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the relevant dates.</p> <p>3. This waiver will expire on 30 October 2020.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in the Schedule is within 12 business hours of ASX Settlement transmitting the Message.</p> <p>Present Application The Waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a distribution or other corporate action made by a Fund as a result of differences in time zones and public holidays between Australia and the US. Such disparity may create an opportunity for a holder who is not entitled to receive a distribution to inappropriately gain access to that distribution as issuances can't be tagged to clarify whether they are trading with or without the distribution.</p>

ASX Limited ABN 98 008 624 691 and its related bodies corporate reserve all rights in the material incorporated in this publication. No part of this publication may be photocopied, reproduced, transcribed into or stored in a retrieval system or any other form of electronic medium, nor may it be transmitted in any form or by any means whether electronic, mechanical or otherwise without the prior written approval of the General Manager, Market Information, ASX Limited. NO RESPONSIBILITY IS ACCEPTED FOR ANY INACCURACIES IN THE MATTER PUBLISHED



Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.4
Date	26/03/2020
ASX Code	VTS
Listed Company	VANGUARD US TOTAL MARKET SHARES INDEX ETF
Waiver Number	WLC200030-001
Decision	<p>1. Based solely on the information provided, ASX Limited ("ASX") grants Vanguard Investments Australia Limited (the "Company") be granted a waiver of ASX Settlement Operating Rule 13.9.4 on the following conditions:</p> <p>1.1 The waiver applies only to the funds listed below in respect of the relevant dates set out below (the "relevant dates");</p> <p>I. Vanguard US Total Market Shares Index ETF (ASX: VTS) on 27 March 2020, 30 June 2020 and 30 September 2020;</p> <p>In relation to the above relevant dates, if there are any changes to such relevant dates, the waiver will apply to those revised dates, provided that the Company:</p> <p>(a) notifies ASX Settlement of the change to the relevant dates at least two (2) business days prior to the change; and</p> <p>(b) ASX Settlement does not object to the change within a period of one (1) business day from the date it receives the notification.</p> <p>2. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the relevant dates.</p> <p>3. This waiver will expire on 30 October 2020.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.4 requires an Issuer that receives a Valid Message under Rule 13.9.3(b) to generate a Trustee Transfer Form in accordance with the Procedures and register that Transfer in the Principal Register, within the Scheduled Time. The Scheduled Time contained in the Schedule is within 12 business hours of ASX Settlement transmitting the Message.</p> <p>Present Application The Waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a distribution or other corporate action made by a Fund as a result of differences in time zones and public holidays between Australia and the US. Such disparity may create an opportunity for a holder who is not entitled to receive a distribution to inappropriately gain access to that distribution as issuances can't be tagged to clarify whether they are trading with or without the distribution</p>

ASX Limited ABN 98 008 624 691 and its related bodies corporate reserve all rights in the material incorporated in this publication. No part of this publication may be photocopied, reproduced, transcribed into or stored in a retrieval system or any other form of electronic medium, nor may it be transmitted in any form or by any means whether electronic, mechanical or otherwise without the prior written approval of the General Manager, Market Information, ASX Limited. NO RESPONSIBILITY IS ACCEPTED FOR ANY INACCURACIES IN THE MATTER PUBLISHED



Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.9
Date	18/03/2020
ASX Code	URW
Listed Company	UNIBAIL-RODAMCO-WESTFIELD
Waiver Number	WLC200032-002
Decision	<p>1. Based solely on the information provided ASX Limited ("ASX") grants Unibail-Rodamco-Westfield (the "Group") a waiver from ASX Settlement Operating Rule 13.9.9 on the following conditions:</p> <p>1.1 The waiver only applies in respect of the dividend period set out below ("Relevant Period"):</p> <p>I. From and including 23 March 2020 up to and including 25 March 2020.</p> <p>2. In relation to the above Relevant Period, if there are any changes to such dividend period, the waiver will apply to the revised period, provided that the Group:</p> <p>I. notifies ASX Settlement ("ASXS") of the change to the dividend period at least two (2) business days prior to the change (the "Notification"); and</p> <p>II. ASXS does not object to the change within a period of one (1) business day from the date it receives the Notification.</p> <p>3. The Group issues a market release informing investors that no conversions (transmutations) will be processed during the Relevant Period.</p>
Basis For Decision	<p>Underlying Policy ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASXS to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in Appendix 1 is within 12 business hours of ASXS queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p>Present Application The waivers are considered necessary to prevent the potential for a holder to gain inappropriate access to a dividend made by the Group as a result of differences in time zones and ex entitlement periods between two different jurisdictions. Such disparity may create an opportunity for a holder who is not entitled to receive a dividend to inappropriately gain access to that dividend as issuances can't be tagged to clarify whether they are trading with or without the dividend.</p>

ASX Limited ABN 98 008 624 691 and its related bodies corporate reserve all rights in the material incorporated in this publication. No part of this publication may be photocopied, reproduced, transcribed into or stored in a retrieval system or any other form of electronic medium, nor may it be transmitted in any form or by any means whether electronic, mechanical or otherwise without the prior written approval of the General Manager, Market Information, ASX Limited. NO RESPONSIBILITY IS ACCEPTED FOR ANY INACCURACIES IN THE MATTER PUBLISHED



Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.9
Date	26/03/2020
ASX Code	VEU
Listed Company	VANGUARD ALL-WORLD EX-US SHARES INDEX ETF
Waiver Number	WLC200031-002
Decision	<p>1. Based solely on the information provided, ASX Limited ("ASX") grant Vanguard Investments Australia Limited (the "Company") a waiver of ASX Settlement Operating Rule 13.9.9 on the following conditions:</p> <p>1.1 The waiver applies only to the funds listed below in respect of the relevant dates set out below (the "relevant dates");</p> <p>I. Vanguard All-World Ex-US Shares Index ETF (ASX: VEU) on 26 June 2020 and 22 September 2020.</p> <p>In relation to the above relevant dates, if there are any changes to such relevant dates, the waiver will apply to those revised dates, provided that the Company:</p> <p>(a) notifies ASX Settlement of the change to the relevant dates at least two (2) business days prior to the change; and</p> <p>(b) ASX Settlement does not object to the change within a period of one (1) business day from the date it receives the notification.</p> <p>2. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the relevant dates.</p> <p>3. This waiver will expire on 30 October 2020.</p>
Basis For Decision	<p>Underlying Policy</p> <p>ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASX Settlement to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in the Schedule is within 12 business hours of ASX Settlement queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p>Present Application</p> <p>The Waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a distribution or other corporate action made by a Fund as a result of differences in time zones and public holidays between Australia and the US. Such disparity may create an opportunity for a holder who is not entitled to receive a distribution to inappropriately gain access to that distribution as issuances can't be tagged to clarify whether they are trading with or without the distribution.</p>

ASX Limited ABN 98 008 624 691 and its related bodies corporate reserve all rights in the material incorporated in this publication. No part of this publication may be photocopied, reproduced, transcribed into or stored in a retrieval system or any other form of electronic medium, nor may it be transmitted in any form or by any means whether electronic, mechanical or otherwise without the prior written approval of the General Manager, Market Information, ASX Limited. NO RESPONSIBILITY IS ACCEPTED FOR ANY INACCURACIES IN THE MATTER PUBLISHED



Register of ASX Settlement Operating Rule Waivers

Rule Number	13.9.9
Date	26/03/2020
ASX Code	VTS
Listed Company	VANGUARD US TOTAL MARKET SHARES INDEX ETF
Waiver Number	WLC200030-002
Decision	<p>1. Based solely on the information provided, ASX Limited ("ASX") grants Vanguard Investments Australia Limited (the "Company") a waiver of ASX Settlement Operating Rule 13.9.9 on the following conditions:</p> <p>1.1 The waiver applies only to the funds listed below in respect of the relevant dates set out below (the "relevant dates");</p> <p>I. Vanguard US Total Market Shares Index ETF (ASX: VTS) on 27 March 2020, 30 June 2020 and 30 September 2020;</p> <p>In relation to the above relevant dates, if there are any changes to such relevant dates, the waiver will apply to those revised dates, provided that the Company:</p> <p>(a) notifies ASX Settlement of the change to the relevant dates at least two (2) business days prior to the change; and</p> <p>(b) ASX Settlement does not object to the change within a period of one (1) business day from the date it receives the notification.</p> <p>2. The Company issues a market release informing investors that no conversions (transmutations) will be processed during the relevant dates.</p> <p>3. This waiver will expire on 30 October 2020.</p>
Basis For Decision	<p>Underlying Policy</p> <p>ASX Settlement Operating Rule 13.9.9 requires an Issuer that receives a Valid Message under Rule 13.9.8 and properly completed transfer documentation to transmit a Message to ASX Settlement to transfer the Financial Products, enter the Transfer in the Principal Register and in certain circumstances verify the Registration Details, within the Scheduled Time. The Scheduled Time contained in the Schedule is within 12 business hours of ASX Settlement queuing the Transfer or of the Issuer receiving the documentation, whichever is the later.</p> <p>Present Application</p> <p>The Waiver is considered necessary to prevent the potential for a holder to gain inappropriate access to a distribution or other corporate action made by a Fund as a result of differences in time zones and public holidays between Australia and the US. Such disparity may create an opportunity for a holder who is not entitled to receive a distribution to inappropriately gain access to that distribution as issuances can't be tagged to clarify whether they are trading with or without the distribution.</p>

ASX Limited ABN 98 008 624 691 and its related bodies corporate reserve all rights in the material incorporated in this publication. No part of this publication may be photocopied, reproduced, transcribed into or stored in a retrieval system or any other form of electronic medium, nor may it be transmitted in any form or by any means whether electronic, mechanical or otherwise without the prior written approval of the General Manager, Market Information, ASX Limited. NO RESPONSIBILITY IS ACCEPTED FOR ANY INACCURACIES IN THE MATTER PUBLISHED